

Professional activity in the stock market

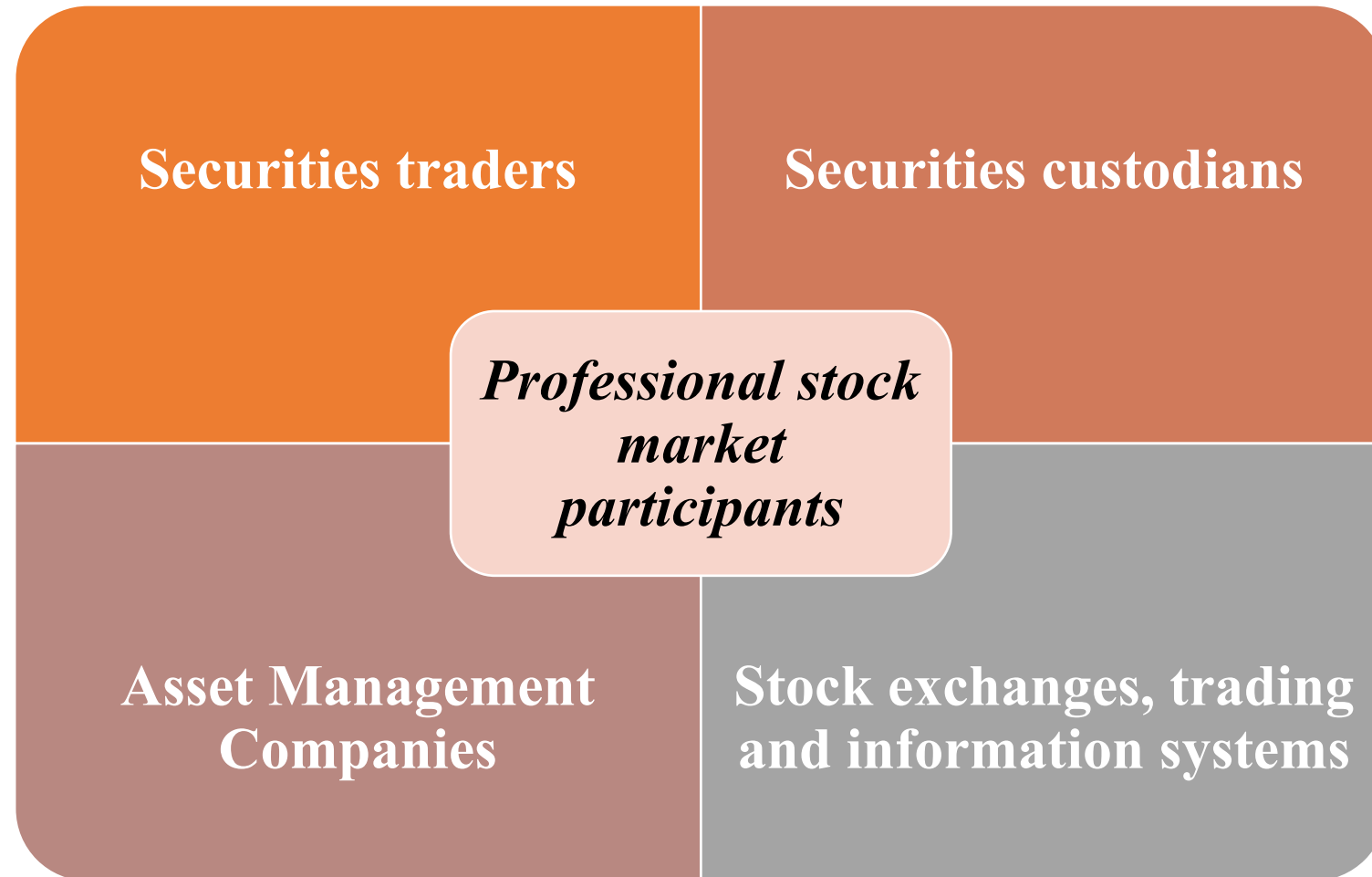


Akhmedova Elvira
student group 5441m

Professional activity in the stock market is the activity of business entities for which this activity is exclusive and banks for the redistribution of financial resources through securities and organizational, informational, technical, consulting and other services for the issue and circulation of securities and their derivatives.



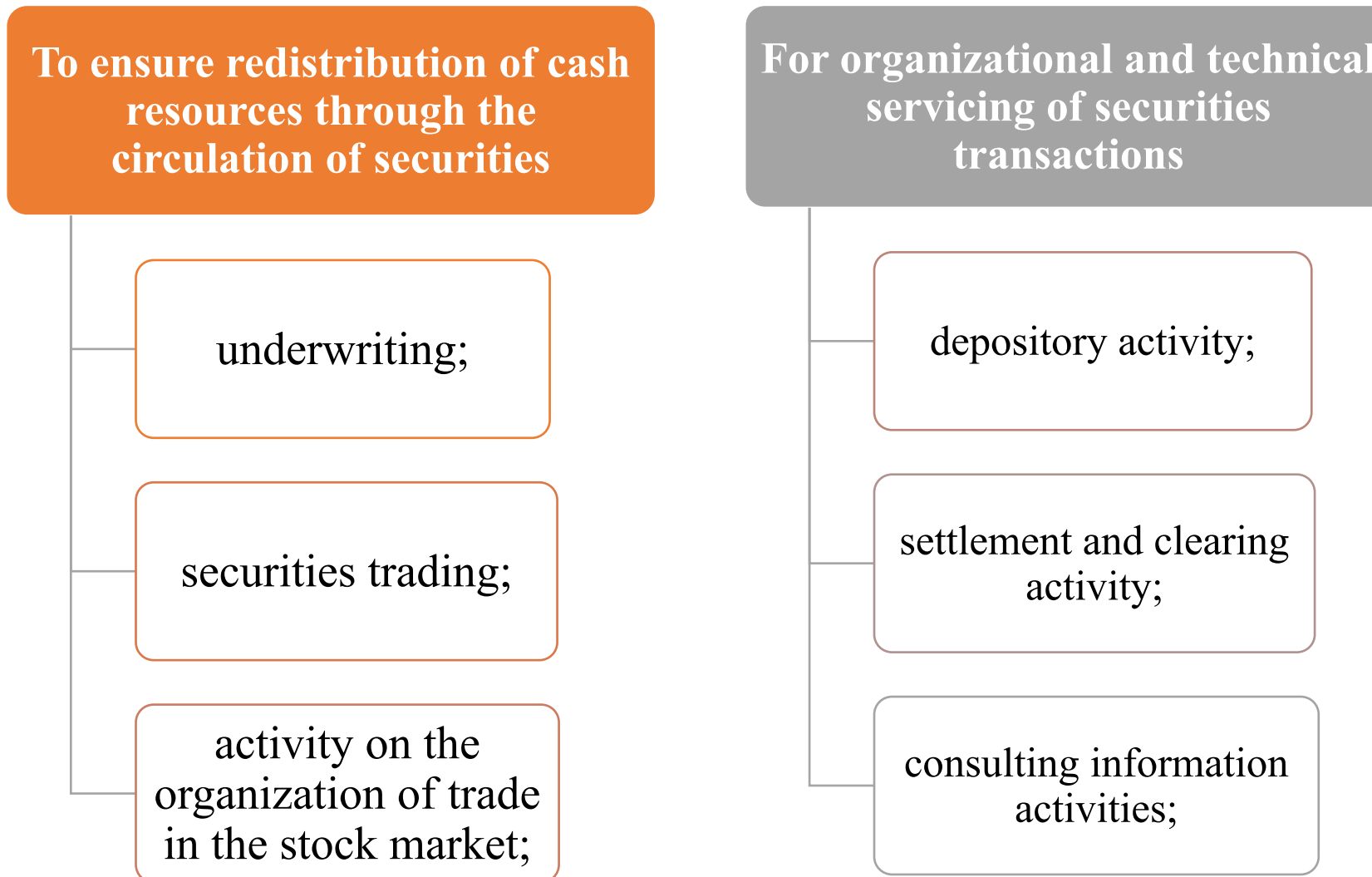
Professional participants in the stock market are those who have received licenses from the State Commission on Securities and Stock Market for the conduct of certain types of professional activities with securities.



Professional activity in the stock market is aimed at the implementation of financial and other services in the field of issuance and circulation of securities, asset management of institutional investors, accounting for securities rights, and the implementation of joint investment in financial instruments.



Activities of professional stock market participants are carried out for the following tasks:



In accordance with the Law of Ukraine "On Securities and the Stock Market" of February 23, 2006, the following types of professional activities may be carried out on the stock market:

- Securities trading activity:
- Asset Management Activities of Institutional Investors:
- Depositary activity:
- Activities on the organization of trade in the stock market.



Brokerage activity in the stock market consists in the realization of a securities trader by the securities purchase and sale operations on the basis of contracts of commission on the account of their clients.



Dealership in the stock market ensures that a trader makes a civil-law treaties on securities on his own behalf and at his own expense in order to resell to third parties.



Underwriting is the placement (subscription, sale) of securities by a securities trader on behalf of the issuer. A professional member of the stock market that provides underwriting services undertakes to make a remuneration for the placement of diligent issuer securities among investors.



Activity on securities management - activity carried out by a securities trader on his behalf for a fee within a specified period on the basis of an agreement on management of securities and money transferred to him, intended for investment in securities, as well as securities acquired during the process of this management and the funds owned by the trustee (installer) of the management) in his or her interests or in the interests of the persons designated by him.



Thank you for attention!