

# Network Security Essentials Chapter 2

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(Based on Lecture slides by Lawrie  
Brown)




# Outline

- Symmetric encryption
- Block encryption algorithms
- Stream ciphers
- Cipher Block Modes



# Symmetric Encryption

- or conventional / private-key / single-key
  - sender and recipient share a common key
  - all classical encryption algorithms are private-key
  - was only type prior to invention of public-key in 1970's
  - and by far most widely used
- 

# Crypto

- **Cryptology** —The art and science of making and breaking “secret codes”
- **Cryptography** —making “secret codes”
- **Cryptanalysis** —breaking “secret codes”
- **Crypto** —all of the above (and more)



# Some Basic Terminology

- **plaintext** - original message
- **ciphertext** - coded message
- **cipher** - algorithm for transforming plaintext to ciphertext
- **key** - info used in cipher known only to sender/receiver
- **encipher (encrypt)** - converting plaintext to ciphertext
- **decipher (decrypt)** - recovering ciphertext from plaintext
- **cryptography** - study of encryption principles/methods
- **cryptanalysis (codebreaking)** - study of principles/methods of deciphering ciphertext *without knowing key*
- **cryptology** - field of both cryptography and cryptanalysis

# Simple Substitution

- Plaintext: **fourscoreandsevenyearsago**
- Key:

|            |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Plaintext  | a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
| Ciphertext | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z | A | B | C |

- Ciphertext:

**IRXUVFRUHDAGVHYHABHDUVDIR**

- Shift by 3 is "Caesar's cipher"

# Ceasar's Cipher Decryption

- Suppose we know a Ceasar's cipher is being used

- Ciphertext:

VSRQJHEREVTXDUHSDQWU

|            |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Plaintext  | a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
| Ciphertext | D | E | F | G | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z | A | B | C |

- Plaintext: **spongebobsquarepants**

# Not-so-Simple Substitution

- Shift by  $n$  for some  $n \in \{0,1,2,\dots,25\}$
- Then key is  $n$
- Example: key = 7

|            |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Plaintext  | a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
| Ciphertext | H | I | J | K | L | M | N | O | P | Q | R | S | T | U | V | W | X | Y | Z | A | B | C | D | E | F | G |



# Cryptanalysis I: Try Them All

- A simple substitution (shift by  $n$ ) is used
- But the key is unknown
- Given ciphertext: **CSYEVIXIVQMREXIH**
- How to find the key?
- Only 26 possible keys —try them all!
- **Exhaustive key search**
- Solution: key = 4



# Even-less-Simple Substitution

- Key is some permutation of letters
- Need not be a shift
- For example

|            |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|------------|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Plaintext  | a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
| Ciphertext | J | I | C | A | X | S | E | Y | V | D | K | W | B | Q | T | Z | R | H | F | M | P | N | U | L | G | O |

- Then  $26! > 2^{88}$  possible keys!

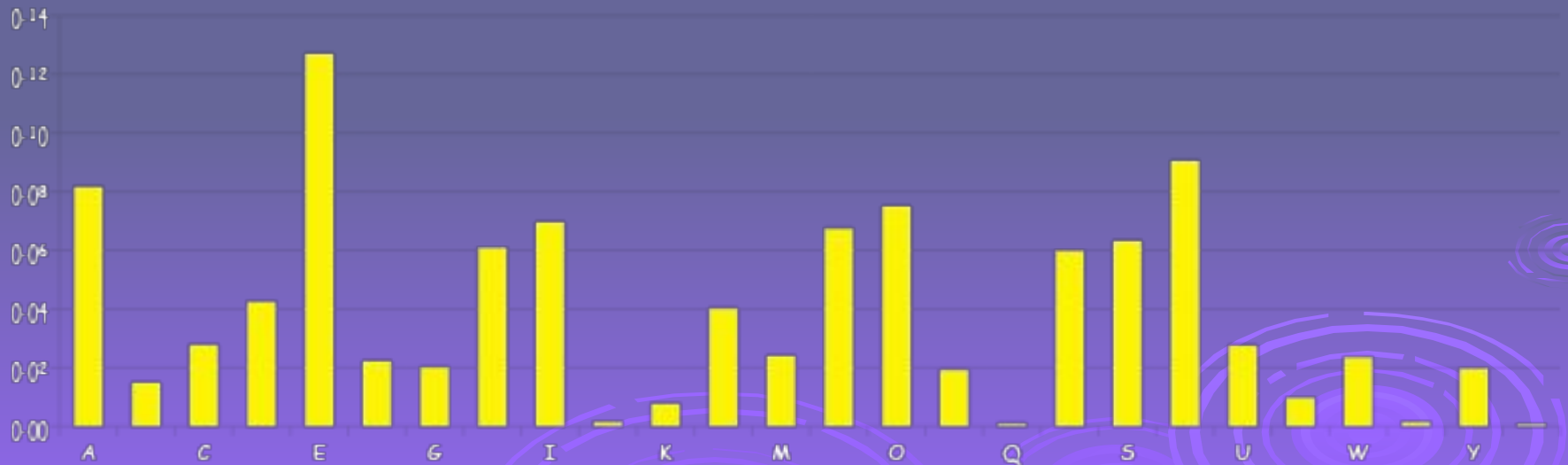
# Cryptanalysis II: Be Clever

- We know that a simple substitution is used
- But not necessarily a shift by  $n$
- Can we find the key given ciphertext:

PBFPVYFBQXZTYFPBFEQJHDXXQVAPTPQJKTOYQWIPBVWLXTOXB  
TFXQWAXBVCXQWAXFQJVWLEQNTQZQGGQLFXQWAKVWLXQWAE  
BIPBFXFQVXGTVJVWLBTPQWAEBFPBFHCVLXBQUFEVWLXGDPEQ  
VPQGVPPBFTIXPFHXZHVFAGFOTHFEBQUFTDHzBQPOTHXTYFTO  
DXQHFTDPTOGHFQPBQWAQJJTODXQHFOQPWTBDHHIXQVAPBFZ  
QHCFWPFHPBFIPBQWKFABVYYDZBOTHBPBQPQJTQOTOGHFQAPBF  
EQJHDXXQVAVXEBQPEFZBVFOJIWFFACFCFHQWAUVWFLQHGF  
VAFXQHUFHILTAVWAFFAWTEVOITDHFHFQAITIXPFHXAFQHEFZQ  
WGFLVWPTOFFA

# Cryptanalysis II

- Can't try all  $2^{88}$  simple substitution keys
- Can we be more clever?
- English letter frequency counts...



# Cryptanalysis II

## □ Ciphertext:

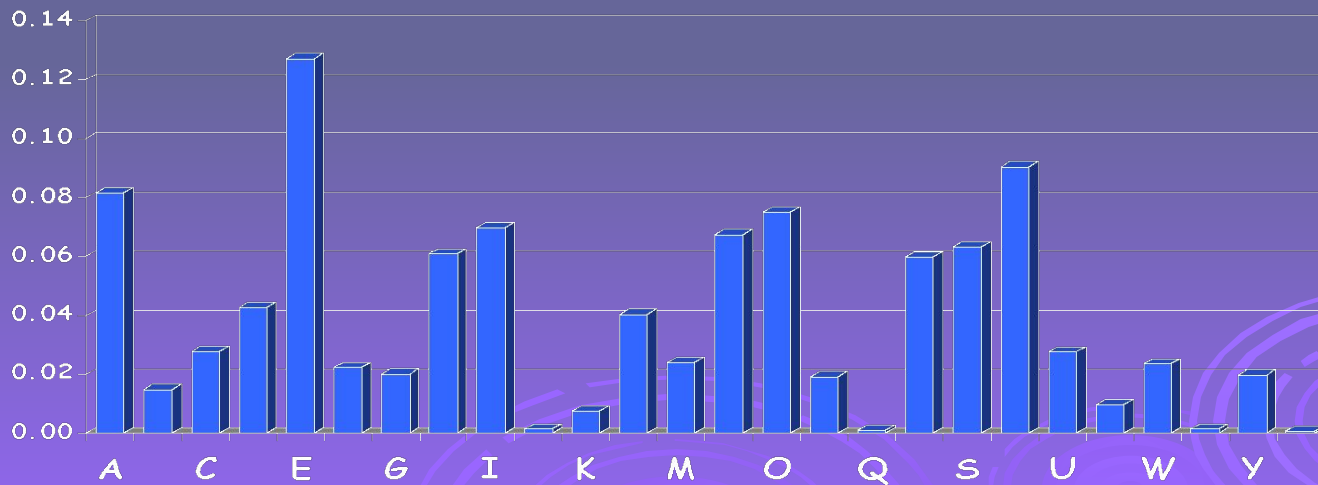
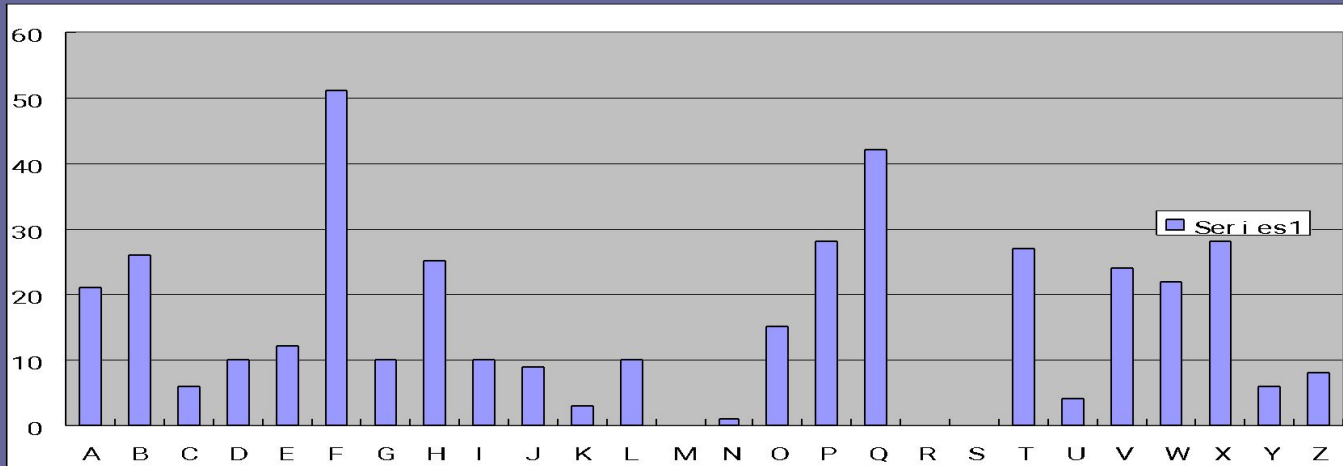
PBFPVYFBQXZTYFPBFEQJHDXXQVAPTPQJKTOYQWIPBVWLXTOXBTFXQWA  
XBVCXQWAXFQJVVLEQNTQZQGGQLFXQWAKVWLXQWAEBIPBFXFQVXGTV  
JVWLBTPQWAEBFPBFHCVLXBQUFEVWLXGDPEQVPQGVPPBFTIXPFHXZHVF  
AGFOTHFEFBQUFTDZHQBQPOTHXTYFTODXQHFTDPTOGHFQPBQWAQJJTOD  
XQHFOQPWTBDHHIXQVAPBFZQHCFWPFHPBFIPBQWKFABVYYDZBOTHBPBQ  
PQJTQOTOGHFQAPBFEQJHDXXQVAVXEBQPEFZBVFOJIWFFACFCFHQWA  
UVWFLQHGFVAFXQHUFHILTAVWAFFAWTEVOITDHFHFQAITIXPFHXAFQ  
HEFZQWGFLVWPTOFFA

## □ Decrypt this message using info below

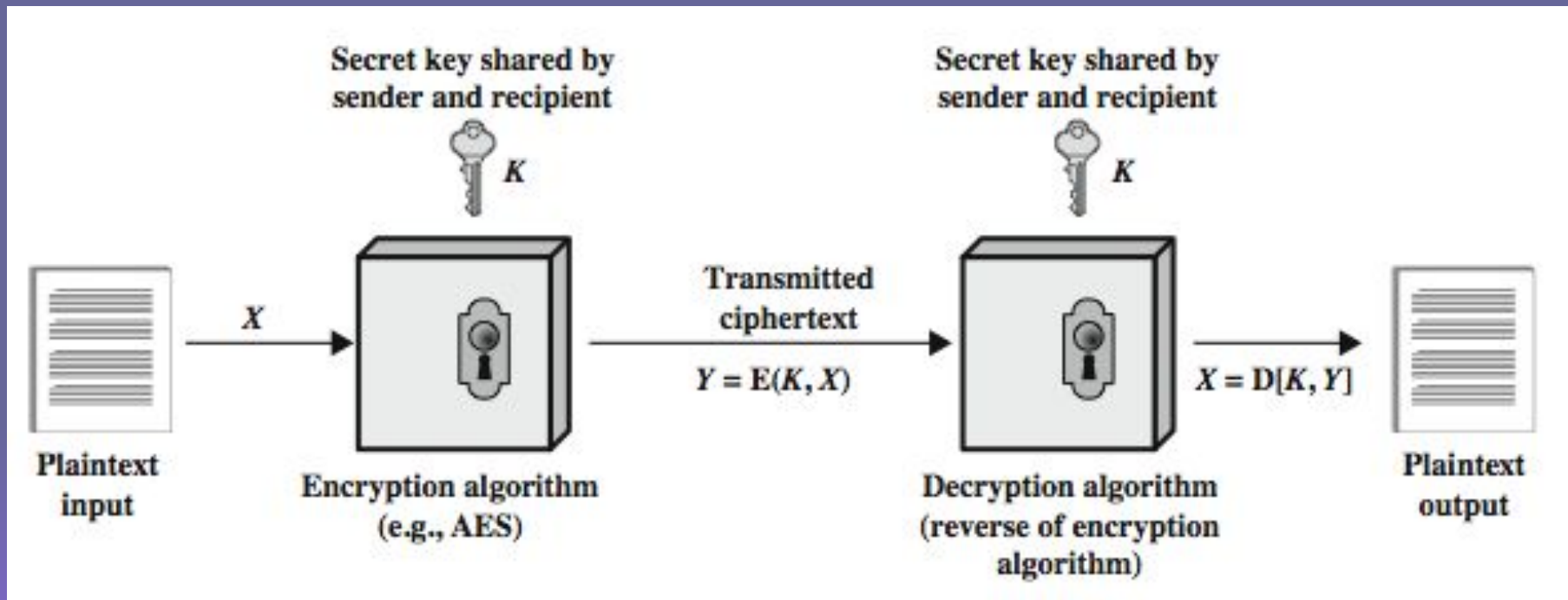
Ciphertext frequency counts:

| A  | B  | C | D  | E  | F  | G  | H  | I  | J | K | L  | M | N | O  | P  | Q  | R | S | T  | U | V  | W  | X  | Y | Z |
|----|----|---|----|----|----|----|----|----|---|---|----|---|---|----|----|----|---|---|----|---|----|----|----|---|---|
| 21 | 26 | 6 | 10 | 12 | 51 | 10 | 25 | 10 | 9 | 3 | 10 | 0 | 1 | 15 | 28 | 42 | 0 | 0 | 27 | 4 | 24 | 22 | 28 | 6 | 8 |

# Comparison



# Symmetric Cipher Model

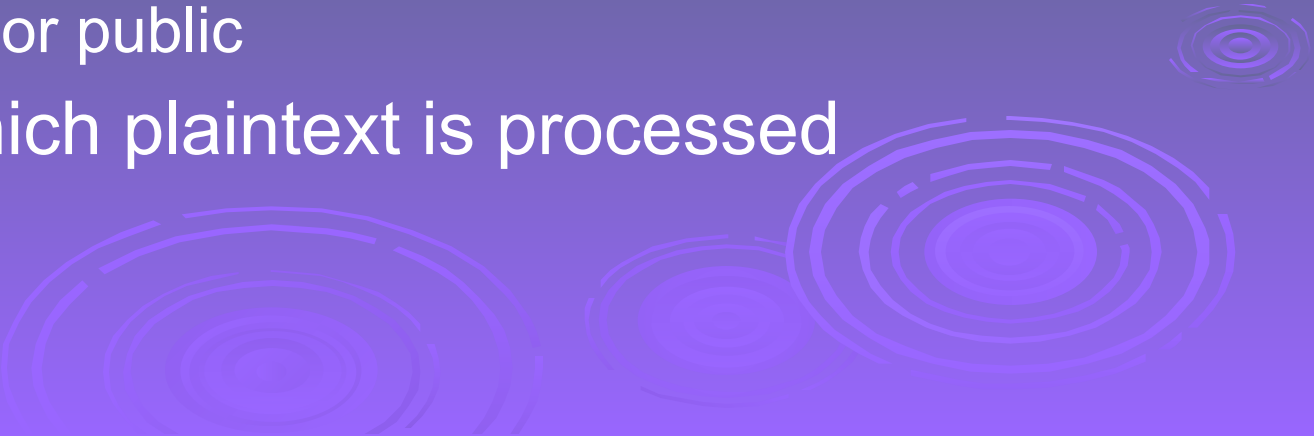


# Requirements

- two requirements for secure use of symmetric encryption:
  - a strong encryption algorithm
  - a secret key known only to sender / receiver
- mathematically have:
  - $Y = E(K, X)$
  - $X = D(K, Y)$
- assume encryption algorithm is known
- implies a secure channel to distribute key



# Cryptography

- can characterize cryptographic system by:
    - type of encryption operations used
      - substitution
      - transposition
      - product
    - number of keys used
      - single-key or private
      - two-key or public
    - way in which plaintext is processed
      - block
      - stream
- 

# Cryptanalysis

- objective to recover key not just message
- general approaches:
  - cryptanalytic attack
  - brute-force attack
- if either succeed all key use compromised



# Cryptanalytic Attacks

## □ ciphertext only

- only know algorithm & ciphertext, is statistical, know or can identify plaintext

## □ known plaintext

- know/suspect plaintext & ciphertext

## □ chosen plaintext

- select plaintext and obtain ciphertext

## □ chosen ciphertext

- select ciphertext and obtain plaintext

## □ chosen text

- select plaintext or ciphertext to en/decrypt

- An encryption scheme: computationally secure if
  - The cost of breaking the cipher exceeds the value of information
  - The time required to break the cipher exceeds the lifetime of information



# Brute Force Search

- always possible to simply try every key
- most basic attack, proportional to key size
- assume either know / recognise plaintext

| Key Size (bits)             | Number of Alternative Keys     | Time required at 1 decryption/ $\mu$ s                    | Time required at $10^6$ decryptions/ $\mu$ s |
|-----------------------------|--------------------------------|---|--|
| 32                          | $2^{32} = 4.3 \times 10^9$     | $2^{31} \mu\text{s} = 35.8$ minutes                       | 2.15 milliseconds                            |
| 56                          | $2^{56} = 7.2 \times 10^{16}$  | $2^{55} \mu\text{s} = 1142$ years                         | 10.01 hours                                  |
| 128                         | $2^{128} = 3.4 \times 10^{38}$ | $2^{127} \mu\text{s} = 5.4 \times 10^{24}$ years          | $5.4 \times 10^{18}$ years                   |
| 168                         | $2^{168} = 3.7 \times 10^{50}$ | $2^{167} \mu\text{s} = 5.9 \times 10^{36}$ years          | $5.9 \times 10^{30}$ years                   |
| 26 characters (permutation) | $26! = 4 \times 10^{26}$       | $2 \times 10^{26} \mu\text{s} = 6.4 \times 10^{12}$ years | $6.4 \times 10^6$ years                      |

# Symmetric Block Cipher Algorithms

- ❑ DES (Data Encryption Standard)
- ❑ 3DES (Triple DES)
- ❑ AES (Advanced Encryption Standard)



# Data Encryption Standard (DES)

- most widely used block cipher in world
- adopted in 1977 by NBS (now NIST)
  - as FIPS PUB 46
- encrypts 64-bit data using 56-bit key
- has widespread use
- has considerable controversy over its security



# DES History

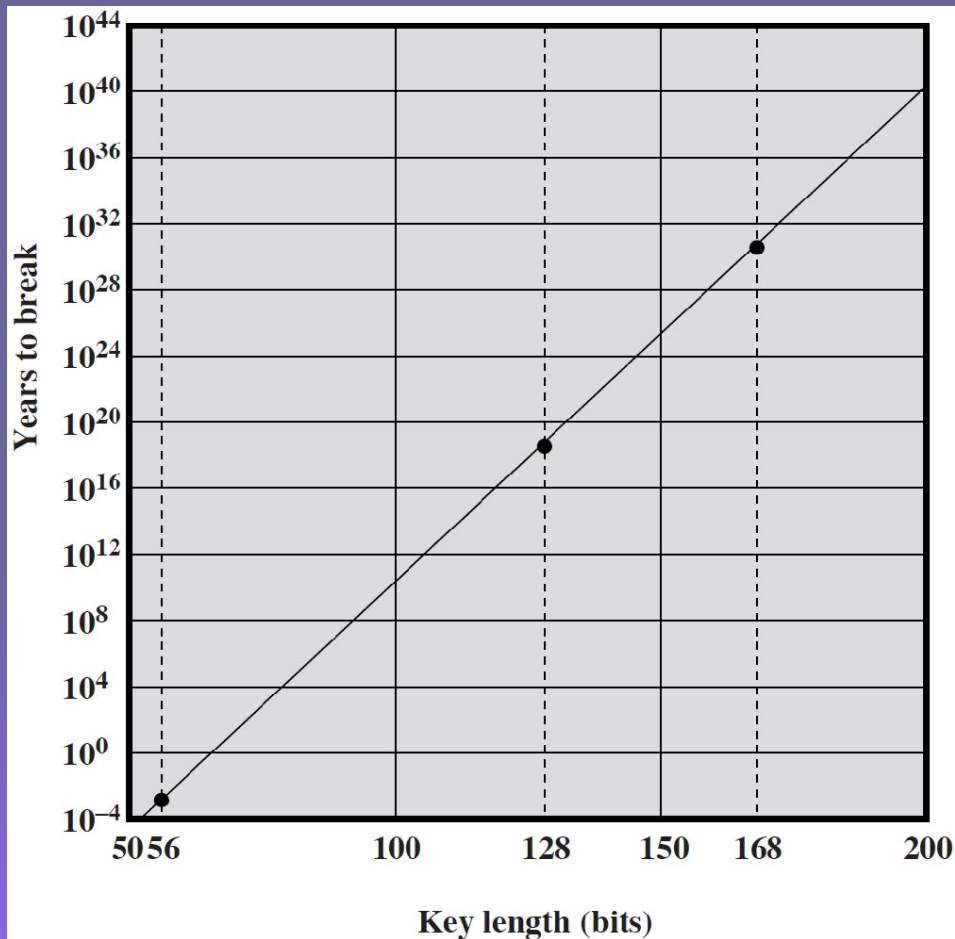
- IBM developed Lucifer cipher
  - by team led by Feistel in late 60's
  - used 64-bit data blocks with 128-bit key
- then redeveloped as a commercial cipher with input from NSA and others
- in 1973 NBS issued request for proposals for a national cipher standard
- IBM submitted their revised Lucifer which was eventually accepted as the DES



# DES Design Controversy

- although DES standard is public, considerable controversy over design
  - in choice of 56-bit key (vs Lucifer 128-bit)
  - and because design criteria were classified
- subsequent events and public analysis show in fact design was appropriate
- use of DES has flourished
  - especially in financial applications
  - still standardised for legacy application use

# Time to Break a DES Code (assuming $10^6$ decryptions/ $\mu\text{s}$ )

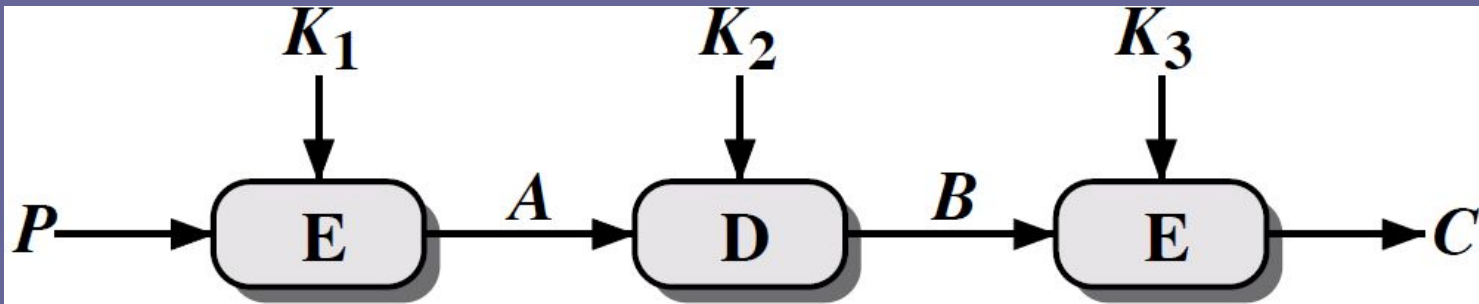


# Multiple Encryption & DES

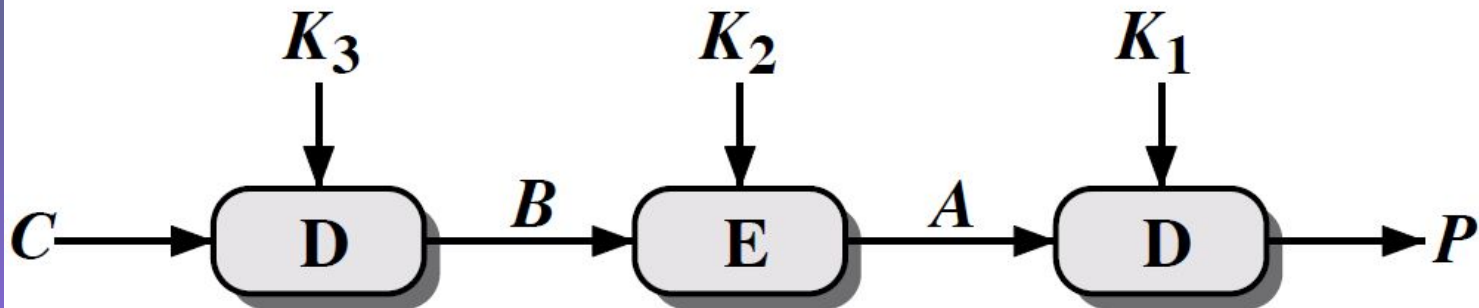
- clear a replacement for DES was needed
  - theoretical attacks that can break it
  - demonstrated exhaustive key search attacks
- AES is a new cipher alternative
  - prior to this alternative was to use multiple encryption with DES implementations
  - Triple-DES is the chosen form



# Triple DES



(a) Encryption

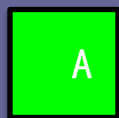


(b) Decryption

# Triple-DES with Two-Keys

- hence must use 3 encryptions
  - would seem to need 3 distinct keys
- but can use 2 keys with E-D-E sequence
  - $C = E_{K1} (D_{K2} (E_{K1} (P)))$
  - nb encrypt & decrypt equivalent in security
  - if  $K1=K2$  then can work with single DES
- standardized in ANSI X9.17 & ISO8732
- no current known practical attacks
  - several proposed impractical attacks might become basis of future attacks

Why is the middle portion of 3DES a decryption rather than an encryption?



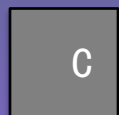
A

it is compatible with the older single DES by repeating the key.



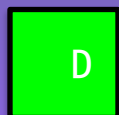
B

It is more secure



C

Decryption is faster than encryption



D

no cryptographic significance

 Submit

# Triple-DES with Three-Keys

- although no practical attacks on two-key Triple-DES have some concerns
  - Two-key: key length =  $56 \times 2 = 112$  bits
  - Three-key: key length =  $56 \times 3 = 168$  bits
- can use Triple-DES with Three-Keys to avoid even these
  - $C = E_{K3} (D_{K2} (E_{K1} (P)))$
- has been adopted by some Internet applications, eg PGP, S/MIME

# Origins

- clearly a replacement for DES was needed
  - have theoretical attacks that can break it
  - have demonstrated exhaustive key search attacks
- can use Triple-DES – but slow, has small blocks
- US NIST issued call for ciphers in 1997
- 15 candidates accepted in Jun 98
- 5 were shortlisted in Aug-99
  - MARS
  - RC6
  - **Rijndael**
  - Serpent
  - Twofish
- Rijndael was selected as the AES in Oct-2000
- issued as FIPS PUB 197 standard in Nov-2001

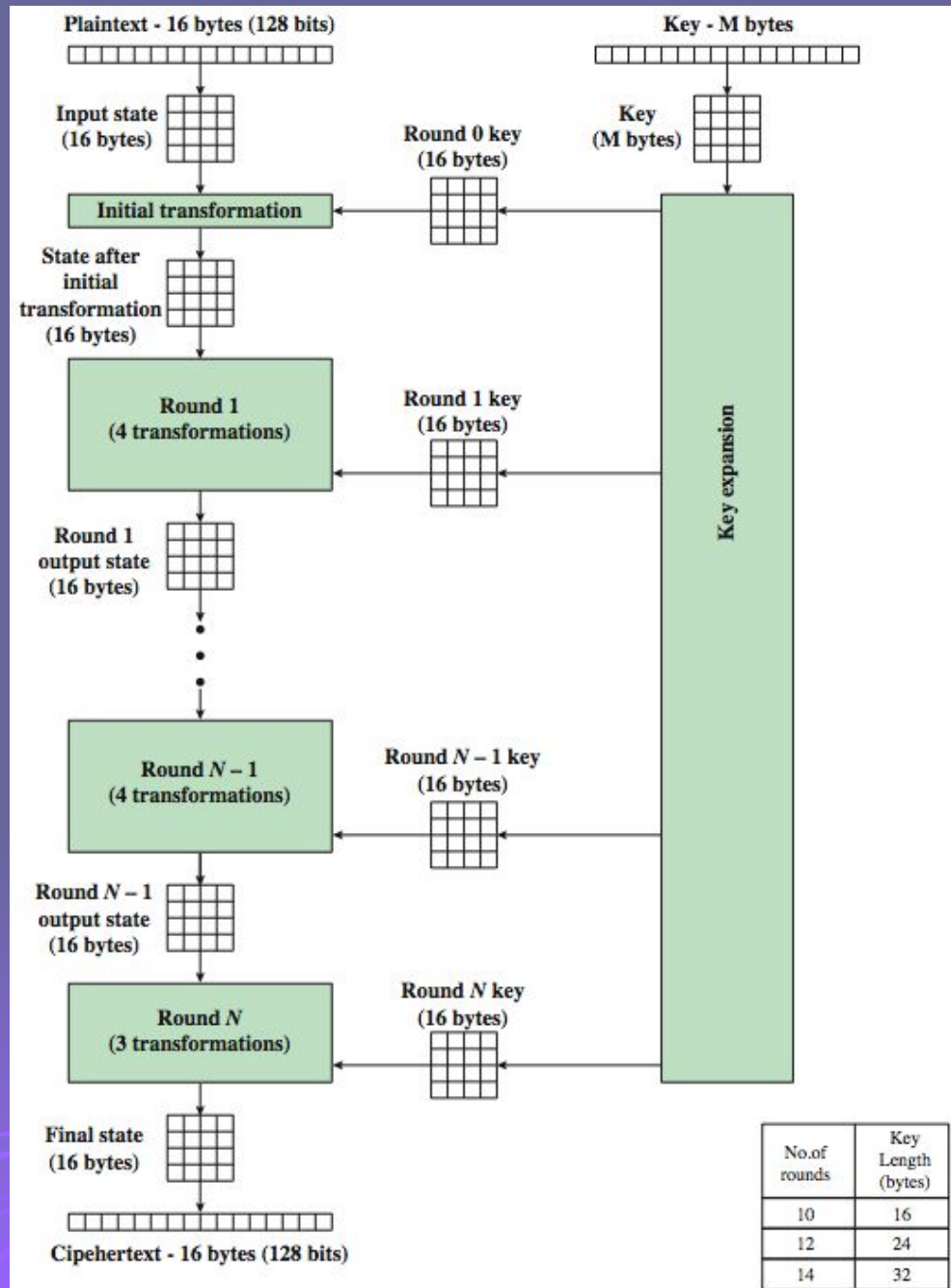


# The AES Cipher - Rijndael

- designed by Rijmen-Daemen in Belgium
- has 128/192/256 bit keys, 128 bit data
- an **iterative** rather than **feistel** cipher
  - processes data as block of 4 columns of 4 bytes
  - operates on entire data block in every round
- designed to be:
  - resistant against known attacks
  - speed and code compactness on many CPUs
  - design simplicity



# AES Encryption Process



# Comparison

| Algorithm | Key Size    | Block Size  | Round    |
|-----------|-------------|-------------|----------|
| DES       | 56          | 64          | 16       |
| Tri-DES   | 112/168     | 64          | 48       |
| IDEA      | 128         | 64          | 8        |
| AES       | 128/192/256 | 128/192/256 | 10/12/14 |

# Random Numbers

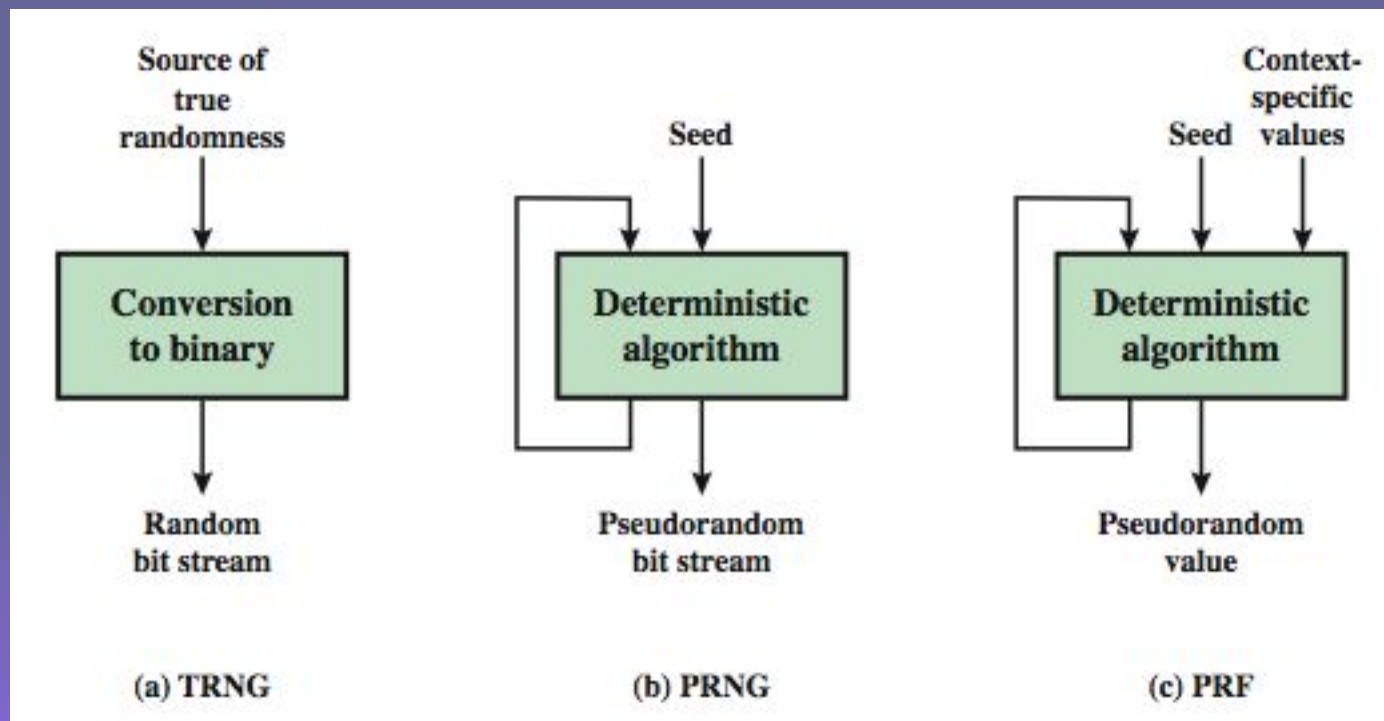
- many uses of **random numbers** in cryptography
  - **nonces** in authentication protocols to prevent replay
  - session keys
  - public key generation
  - keystream for a one-time pad
- in all cases its critical that these values be
  - statistically random, uniform distribution, independent
  - unpredictability of future values from previous values
- true random numbers provide this
- care needed with generated random numbers

# Pseudorandom Number Generators (PRNGs)

- often use deterministic algorithmic techniques to create “random numbers”
  - although are not truly random
  - can pass many tests of “randomness”
- known as “pseudorandom numbers”
- created by “Pseudorandom Number Generators (PRNGs)”



# Random & Pseudorandom Number Generators



# PRNG Algorithm Design

- Purpose-built algorithms
  - E.g. RC4
- Algorithms based on existing cryptographic algorithms
  - Symmetric block ciphers
  - Asymmetric ciphers
  - Hash functions and message authentication codes



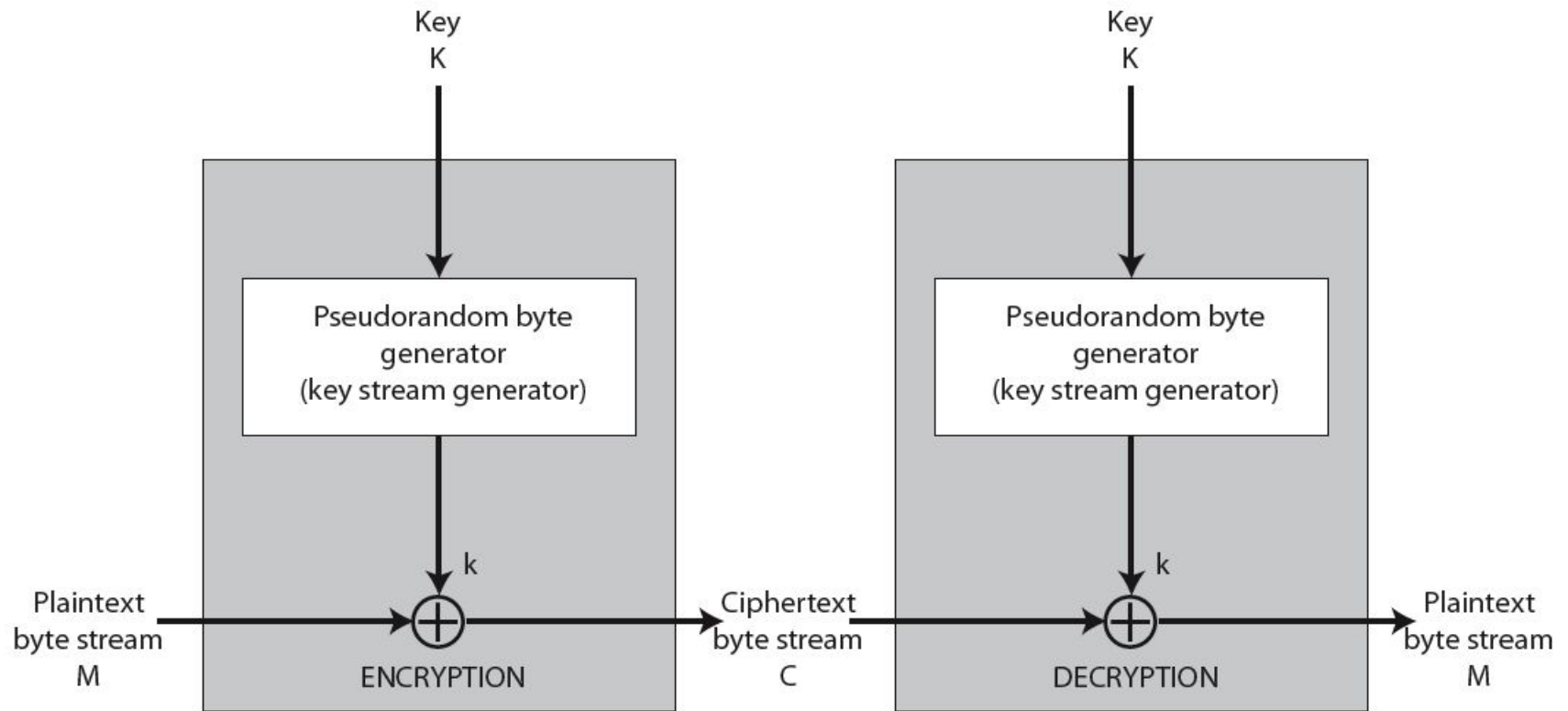
# Outline

- Symmetric encryption
- Block encryption algorithms
- Stream ciphers
- Cipher Block Modes





# Stream Cipher Structure



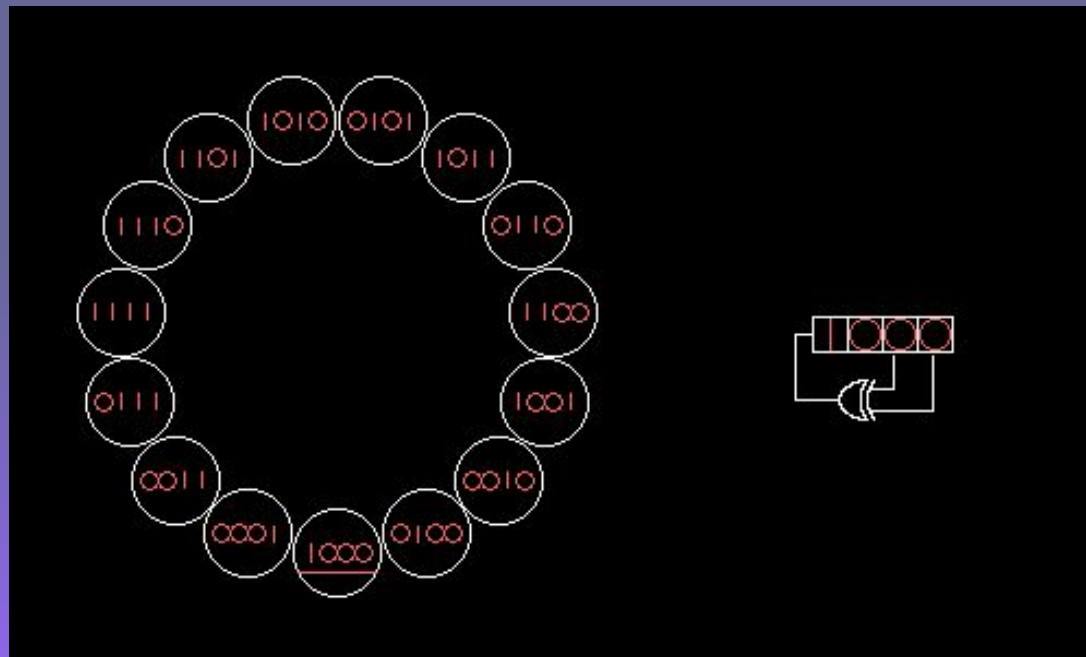
# Stream Cipher Properties

- some design considerations are:
  - long period with no repetitions
  - statistically random
  - depends on large enough key, e.g. 128 bits
  - large linear complexity
- properly designed, can be as secure as a block cipher with same size key
- but usually simpler & faster



# Linear feedback shift register

A 4-bit Fibonacci LFSR with its state diagram. The XOR gate provides feedback to the register that shifts bits from left to right. The maximal sequence consists of every possible state except the "0000" state.



**Table 2.3 Speed Comparisons of Symmetric Ciphers on a Pentium II**

| <b>Cipher</b> | <b>Key Length</b> | <b>Speed (Mbps)</b> |
|---------------|-------------------|---------------------|
| DES           | 56                | 9                   |
| 3DES          | 168               | 3                   |
| RC2           | Variable          | 0.9                 |
| RC4           | Variable          | 45                  |

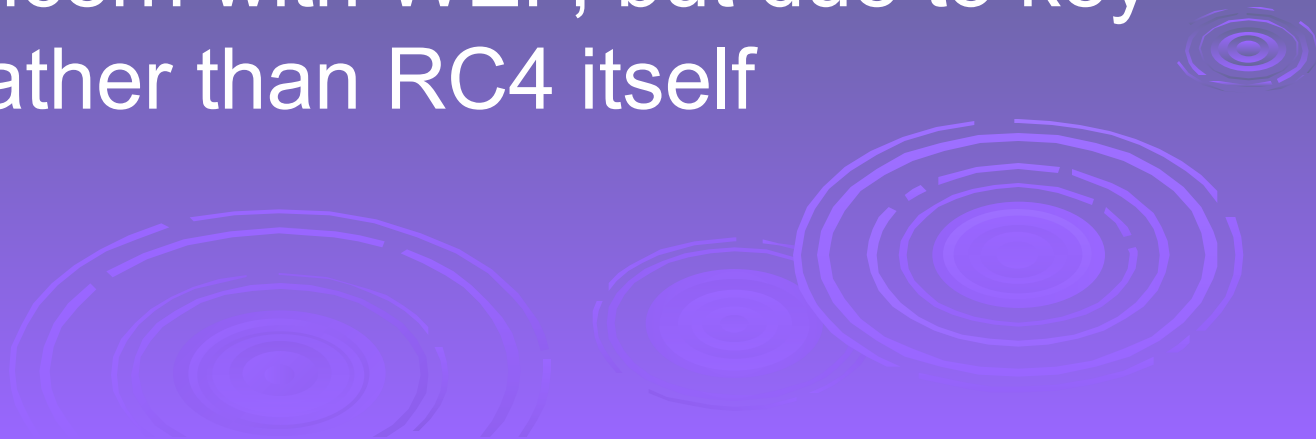
# RC4

- a proprietary cipher owned by RSA DSI
- another Ron Rivest design, simple but effective
- variable key size, byte-oriented stream cipher
- widely used (web SSL/TLS, wireless WEP/WPA)
- key forms random permutation of all 8-bit values
- uses that permutation to scramble input info processed a byte at a time



# RC4 Security

- claimed secure against known attacks
  - have some analyses, none practical
- result is very non-linear
- since RC4 is a stream cipher, must **never reuse a key**
- have a concern with WEP, but due to key handling rather than RC4 itself



# Outline

- Symmetric encryption
- Block encryption algorithms
- Stream ciphers
- Cipher Block Modes



# The Most Important Modes

- ❑ Electronic Codebook Mode (ECB)
- ❑ Cipher Block Chaining Mode (CBC)
- ❑ Cipher Feedback Mode (CFB)
- ❑ Counter Mode (CTR)





# Electronic Codebook Book (ECB)

- message is broken into independent blocks which are encrypted
- each block is a value which is substituted, like a codebook, hence name
- each block is encoded independently of the other blocks

$$C_i = E_K(P_i)$$

- uses: secure transmission of single values

# Zimmerman Telegram

februar 13605  
 fest 13732  
 finanzielle 13850  
 folgender 13918  
 frieden 17142  
 friedenschluss 17149

| CLASS OF SERVICE DESIRED |                                     |  |  |  |  |  |  |  |  |
|--------------------------|-------------------------------------|--|--|--|--|--|--|--|--|
| Fast Day Message         | <input checked="" type="checkbox"/> |  |  |  |  |  |  |  |  |
| Day Letter               | <input checked="" type="checkbox"/> |  |  |  |  |  |  |  |  |
| Night Message            | <input type="checkbox"/>            |  |  |  |  |  |  |  |  |
| Night Letter             | <input type="checkbox"/>            |  |  |  |  |  |  |  |  |

**WESTERN UNION TELEGRAM**  
 NEW YORK CARLTON, PRESIDENT  
 5387  
 5387  
 Time Paid  
 via Galveston  
 JAN 29 1917  
 Charge German Embassy.

Read the following telegram, subject to the terms on back hereof, which are hereby agreed to

GERMAN LEGATION  
 MEXICO CITY

|       |       |       |       |       |       |       |       |       |       |
|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| 130   | 13042 | 13401 | 8501  | 115   | 3528  | 416   | 17214 | 8491  | 11310 |
| 18147 | 18222 | 21560 | 10247 | 11518 | 23677 | 13605 | 3494  | 14936 |       |
| 98092 | 5905  | 11311 | 10392 | 10371 | 0302  | 21290 | 5161  | 59695 |       |
| 23571 | 17504 | 11269 | 18276 | 18101 | 0317  | 0228  | 17894 | 4473  |       |
| 23284 | 22200 | 19452 | 21589 | 87893 | 5569  | 13918 | 8958  | 12137 |       |
| 1333  | 4725  | 4458  | 5905  | 17166 | 13851 | 4458  | 17149 | 14471 | 6706  |
| 13850 | 12224 | 6929  | 14991 | 7382  | 15857 | 67893 | 14218 | 36477 |       |
| 5870  | 17553 | 67893 | 5870  | 5454  | 16102 | 15217 | 22801 | 17138 |       |
| 21001 | 17388 | 7446  | 23638 | 18222 | 6719  | 14331 | 15021 | 23845 |       |
| 3158  | 23552 | 22096 | 21604 | 4797  | 9497  | 22464 | 20855 | 4377  |       |
| 23610 | 18140 | 22260 | 5905  | 13347 | 20420 | 39689 | 13732 | 20667 |       |
| 6929  | 5275  | 18507 | 52262 | 1340  | 22049 | 13339 | 11265 | 22295 |       |
| 10439 | 14814 | 4178  | 6992  | 8784  | 7632  | 7357  | 6926  | 52262 | 11267 |
| 21100 | 21272 | 9346  | 9559  | 22464 | 15874 | 18502 | 18500 | 15857 |       |
| 2188  | 5376  | 7381  | 98092 | 16127 | 13486 | 9350  | 9220  | 76036 | 14219 |
| 5144  | 2831  | 17920 | 11347 | 17142 | 11264 | 7667  | 7762  | 15099 | 9110  |
| 10482 | 97556 | 3569  | 3670  |       |       |       |       |       |       |

BEPNSTORFF.

# Zimmerman Decryption

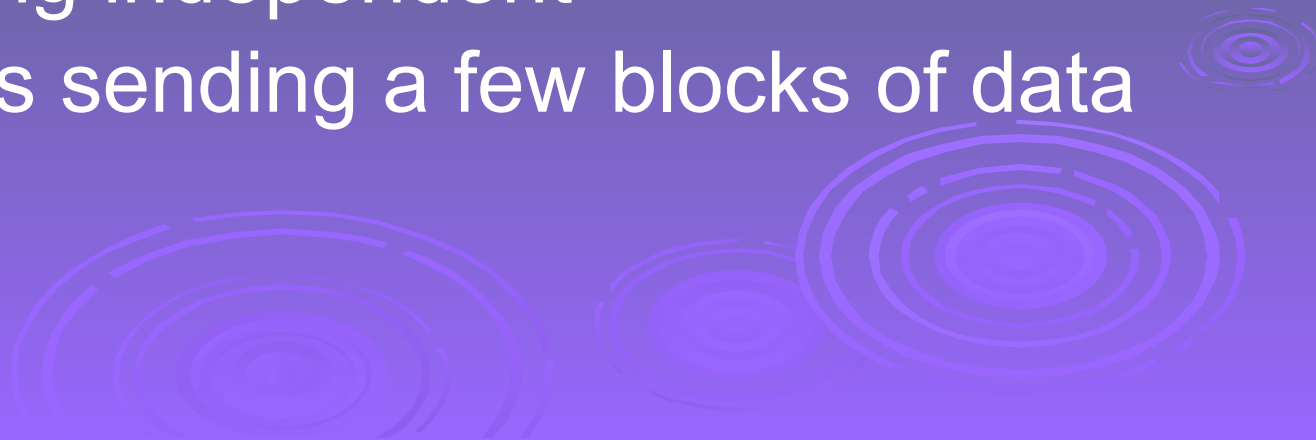
- ◆ UK decrypt part of the telegraphy

MAILED TELEGRAM RECEIVED.  
October 1-8-18  
W. L. Zimmerman, State Dept.  
By *Walter A. Eckhoff, Assistant*  
Date *Oct. 27, 1918*  
FROM 2nd from London # 5747.

"We intend to begin on the first of February unrestricted submarine warfare. We shall endeavor in spite of this to keep the United States of America neutral. In the event of this not succeeding, we make Mexico a proposal of alliance on the following basis: make war together, make peace together, generous financial support and an understanding on our part that Mexico is to reconquer the lost territory in Texas, New Mexico, and Arizona. The settlement in detail is left to you. You will inform the President of the above most secretly as soon as the outbreak of war with the United States of America is certain and add the suggestion that he should, on his own initiative, ~~invite~~ <sup>invite</sup> Japan to immediate adherence and at the same time mediate between Japan and ourselves. Please call the President's attention to the fact that the ruthless employment of our submarines now offers the prospect of compelling England in a few months to make peace." Signed, ZIMMERMAN.

# Advantages and Limitations of ECB

- message repetitions may show in ciphertext
  - if aligned with message block
  - particularly with data such as graphics
  - or with messages that change very little, which become a code-book analysis problem
- weakness is due to the encrypted message blocks being independent
- main use is sending a few blocks of data



# Cipher Block Chaining (CBC)

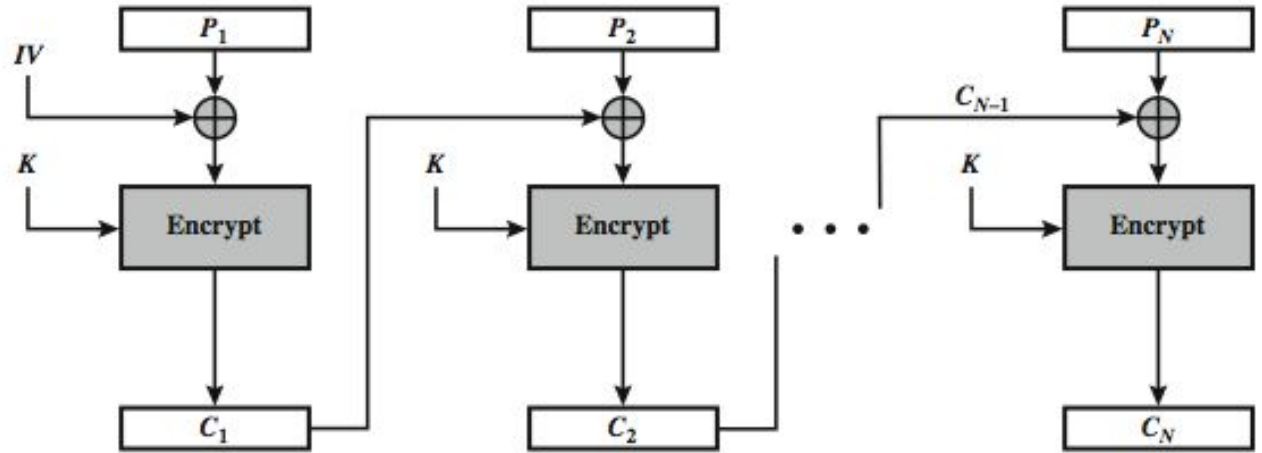
- message is broken into blocks
- linked together in encryption operation
- each previous cipher blocks is chained with current plaintext block, hence name
- use Initial Vector (IV) to start process

$$C_i = E_K (P_i \text{ XOR } C_{i-1})$$

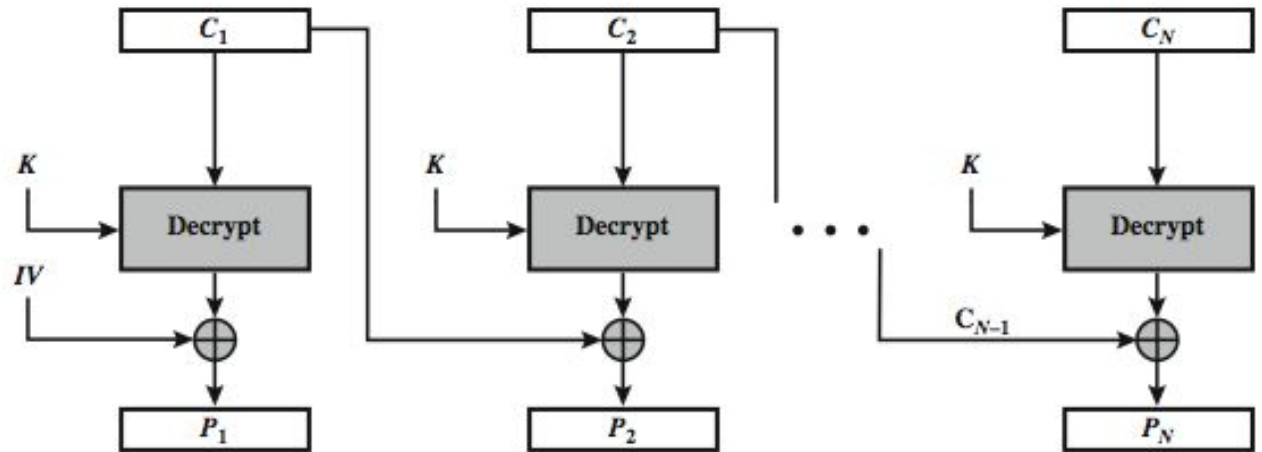
$$C_0 = IV$$

- uses: bulk data encryption, authentication

# Cipher Block Chaining (CBC)



(a) Encryption



(b) Decryption

# Cipher FeedBack (CFB)

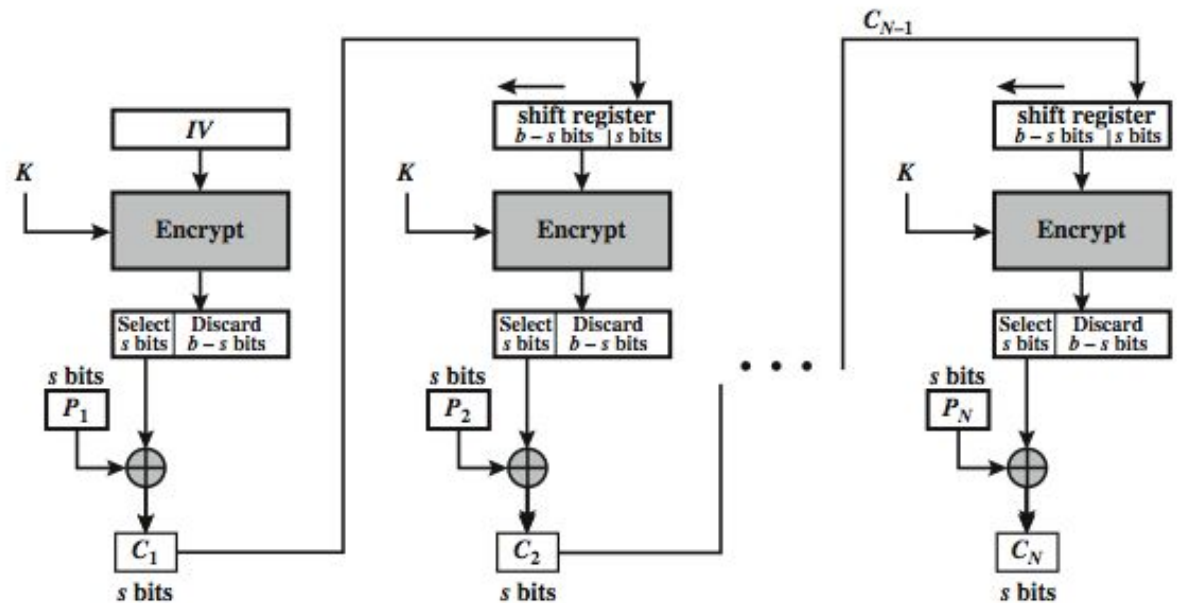
- message is treated as a stream of bits
- added to the output of the block cipher
- result is feed back for next stage (hence name)
- standard allows any number of bit (1,8, 64 or 128 etc) to be fed back
  - denoted CFB-1, CFB-8, CFB-64, CFB-128 etc
- most efficient to use all bits in block (64 or 128)

$$C_i = P_i \text{ XOR } E_K(C_{i-1})$$

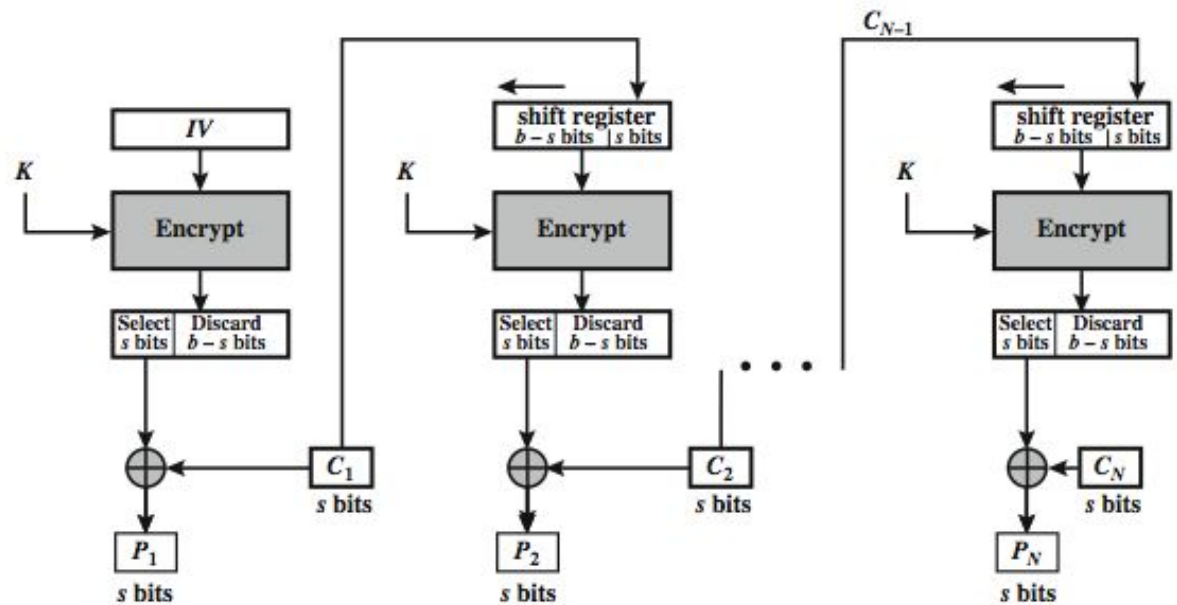
$$C_0 = IV$$

- uses: stream data encryption, authentication

# s-bit Cipher FeedBack (CFB-s)



(a) Encryption



(b) Decryption



# Advantages and Limitations of CFB

- appropriate when data arrives in bits/bytes
- most common stream mode
- Limitation: need to stall while doing block encryption after every n-bits
- note that the block cipher is used in **encryption** mode at **both** ends
- errors propagate for several blocks after the error

# Counter (CTR)

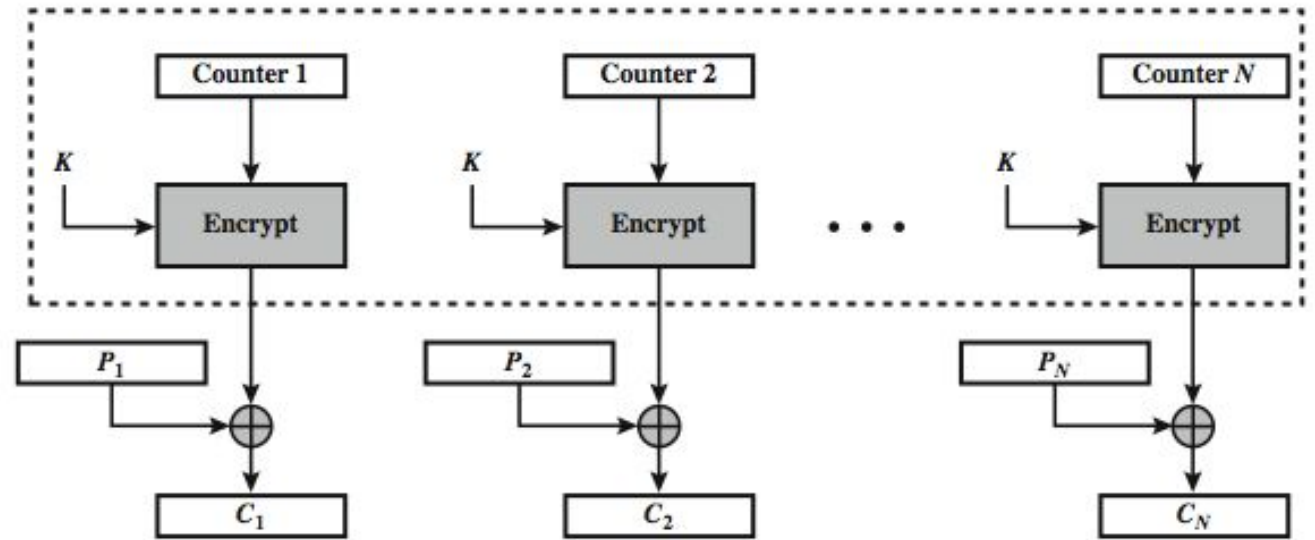
- a “new” mode, though proposed early on
- similar to OFB but encrypts counter value rather than any feedback value
- must have a different key & counter value for every plaintext block (never reused)

$$O_i = E_K(i)$$

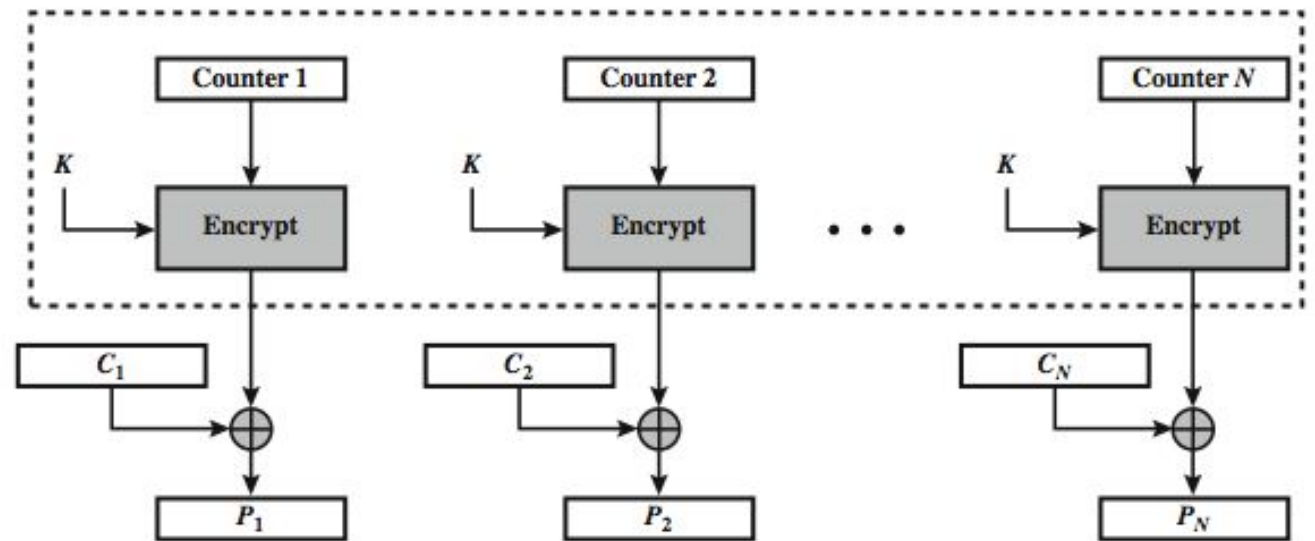
$$C_i = P_i \text{ XOR } O_i$$

- uses: high-speed network encryptions

# Counter (CTR)



(a) Encryption

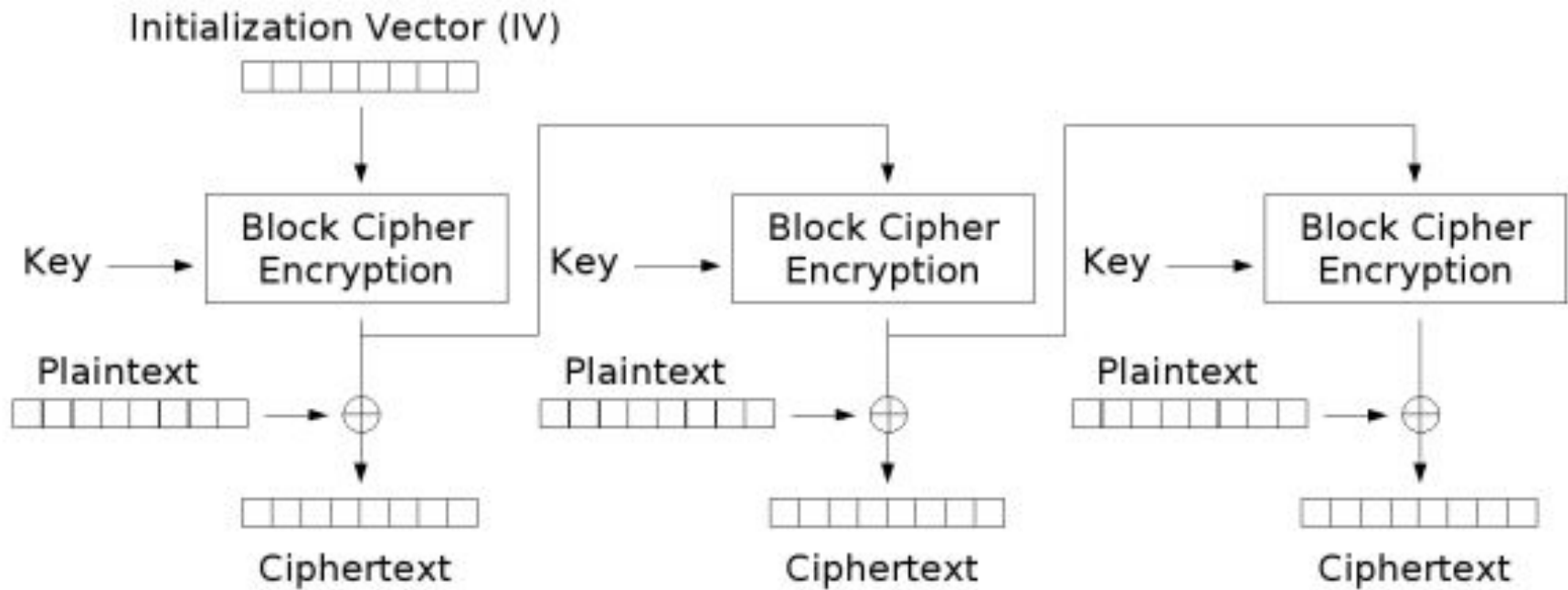


(b) Decryption

# Advantages and Limitations of CTR

- efficiency
  - can do parallel encryptions in h/w or s/w
  - can preprocess in advance of need
  - good for bursty high speed links
- random access to encrypted data blocks
- provable security (good as other modes)
- but must ensure never reuse key/counter values, otherwise could break (cf OFB)

# Output Feedback Mode (OFB)



Output Feedback (OFB) mode encryption

# Assignment

- P56 Review Questions:
  - 2.4 2.8
- P.59 Problems:
  - 2.12

