

# CMPE 466

# COMPUTER

# GRAPHICS

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## Chapter 9

## 3D Geometric Transformations

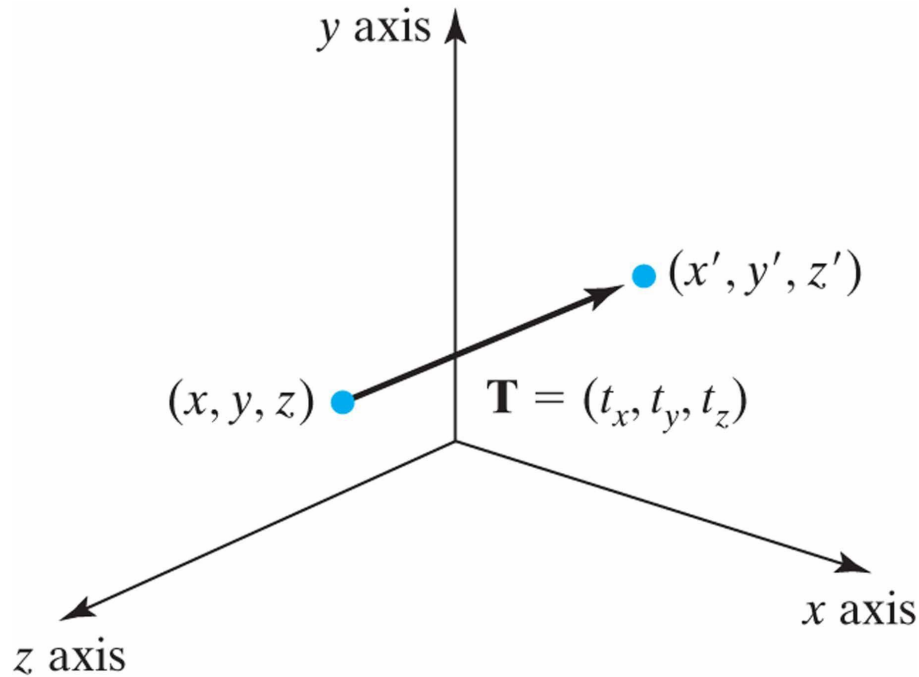
Instructor: D. Arifler

Material based on

- *Computer Graphics with OpenGL<sup>®</sup>*, Fourth Edition by Donald Hearn, M. Pauline Baker, and Warren R. Carithers
- *Fundamentals of Computer Graphics*, Third Edition by Peter Shirley and Steve Marschner
- *Computer Graphics* by F. S. Hill

# 3D translation

**Figure 9-1** Moving a coordinate position with translation vector  $\mathbf{T} = (t_x, t_y, t_z)$ .

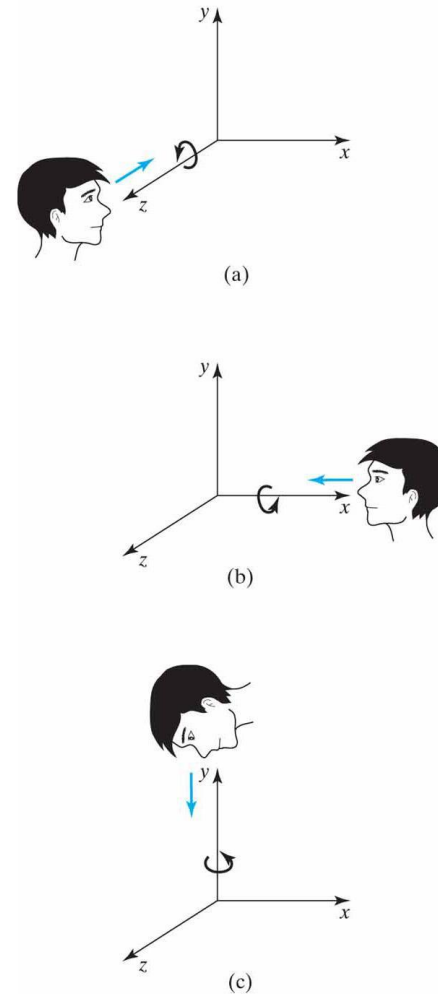


$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\mathbf{P}' = \mathbf{T} \cdot \mathbf{P}$$

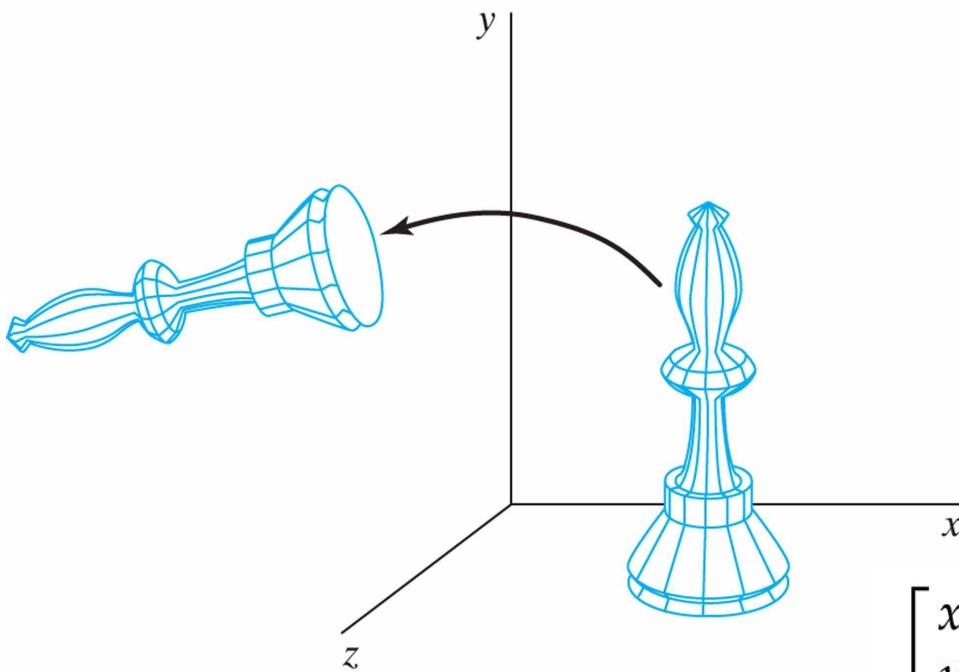
# 3D rotation

**Figure 9-3** Positive rotations about a coordinate axis are counterclockwise, when looking along the positive half of the axis toward the origin.



# 3D z-axis rotation

Figure 9-4 Rotation of an object about the z axis.



$$x' = x \cos \theta - y \sin \theta$$

$$y' = x \sin \theta + y \cos \theta$$

$$z' = z$$

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

# Rotations

- To obtain rotations about other two axes

- $x \rightarrow y \rightarrow z \rightarrow x$

- E.g. x-axis rotation

$$y' = y \cos \theta - z \sin \theta$$

$$z' = y \sin \theta + z \cos \theta$$

$$x' = x$$

- E.g. y-axis rotation

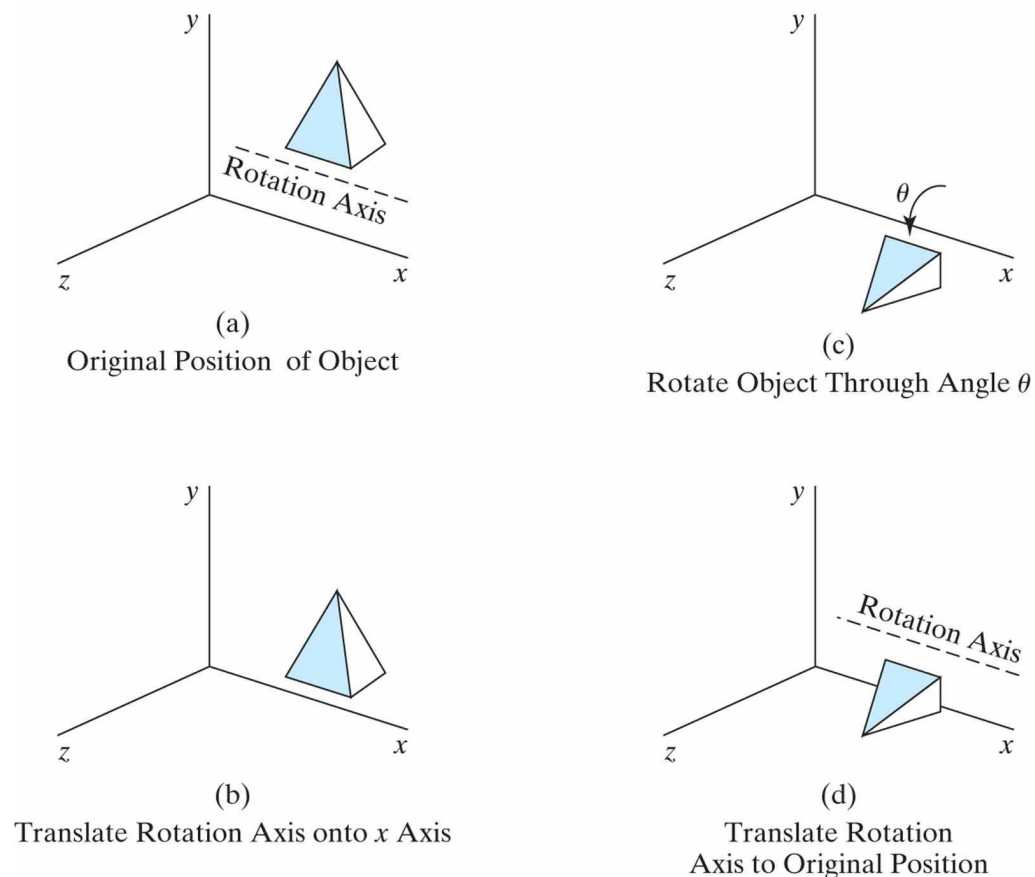
$$z' = z \cos \theta - x \sin \theta$$

$$x' = z \sin \theta + x \cos \theta$$

$$y' = y$$

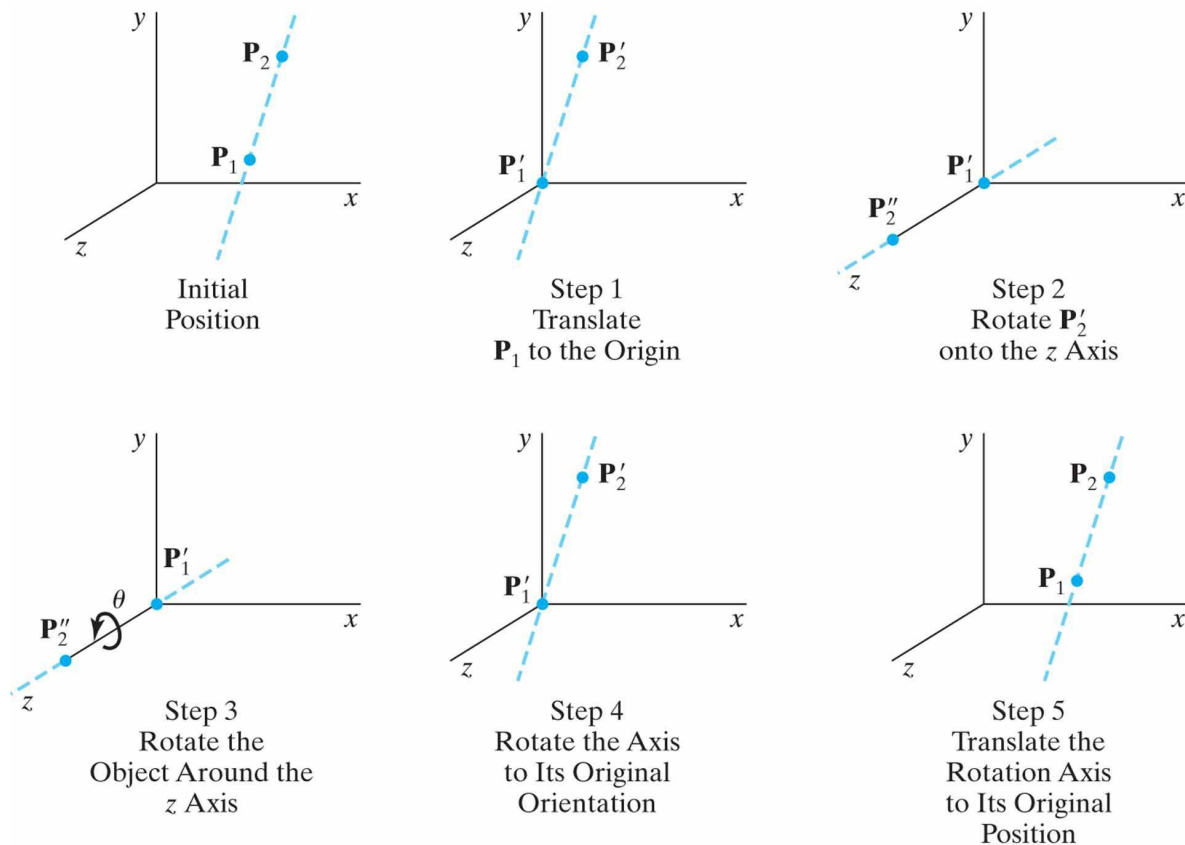
# General 3D rotations

**Figure 9-8** Sequence of transformations for rotating an object about an axis that is parallel to the  $x$  axis.



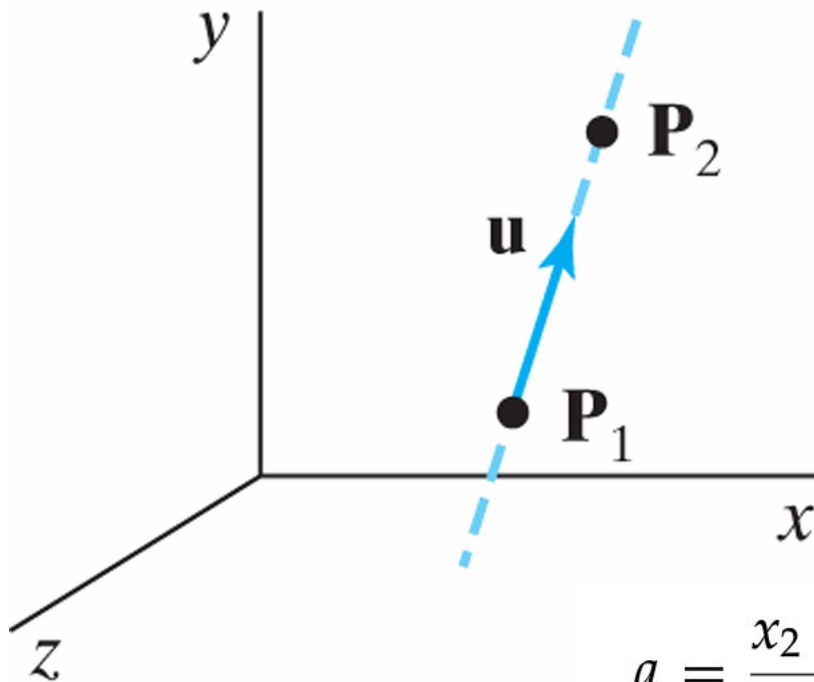
# Arbitrary rotations

**Figure 9-9** Five transformation steps for obtaining a composite matrix for rotation about an arbitrary axis, with the rotation axis projected onto the z axis.



# Arbitrary rotations

**Figure 9-10** An axis of rotation (dashed line) defined with points  $\mathbf{P}_1$  and  $\mathbf{P}_2$ . The direction for the unit axis vector  $\mathbf{u}$  is determined by the specified rotation direction.



$$\begin{aligned}\mathbf{V} &= \mathbf{P}_2 - \mathbf{P}_1 \\ &= (x_2 - x_1, y_2 - y_1, z_2 - z_1)\end{aligned}$$

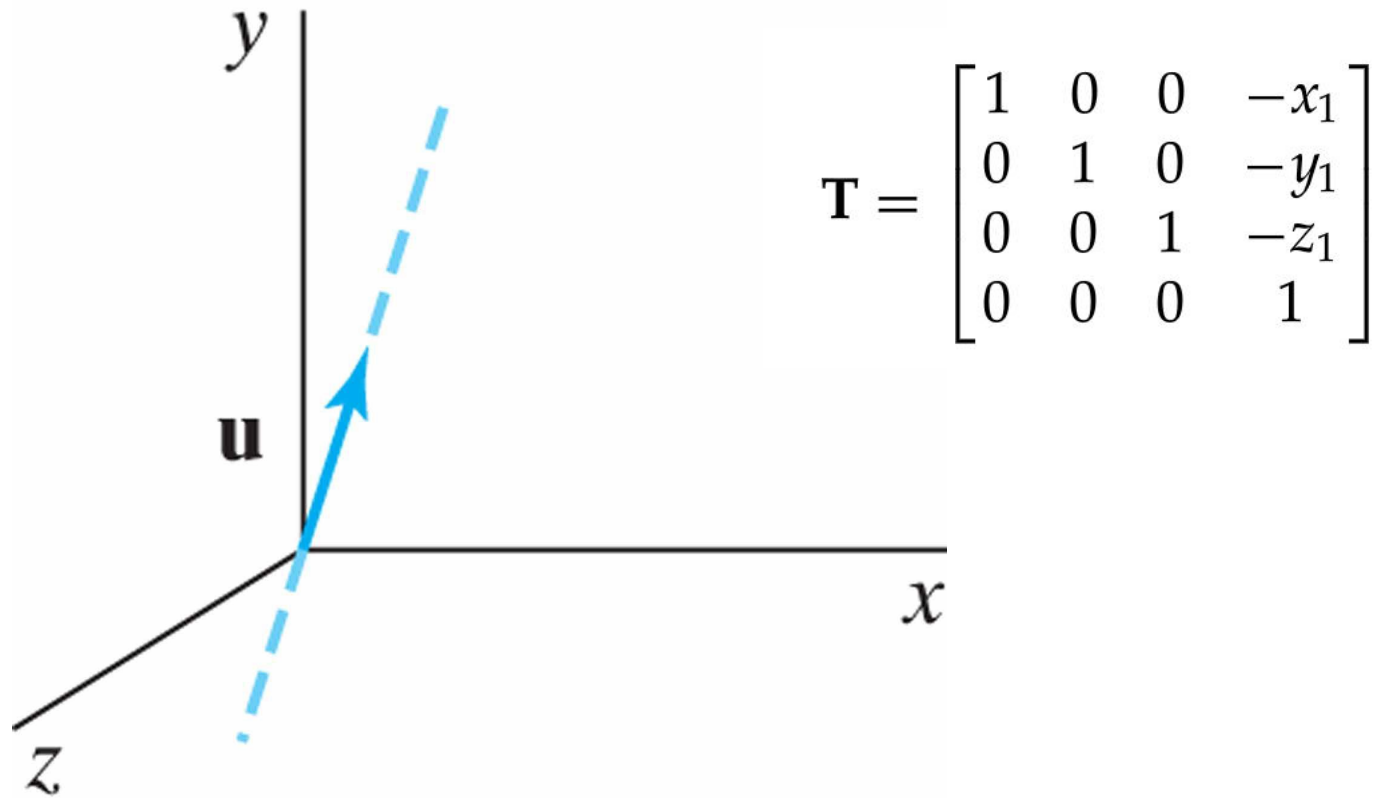
$$\mathbf{u} = \frac{\mathbf{V}}{|\mathbf{V}|} = (a, b, c)$$

$$a = \frac{x_2 - x_1}{|\mathbf{V}|}, \quad b = \frac{y_2 - y_1}{|\mathbf{V}|}, \quad c = \frac{z_2 - z_1}{|\mathbf{V}|}$$



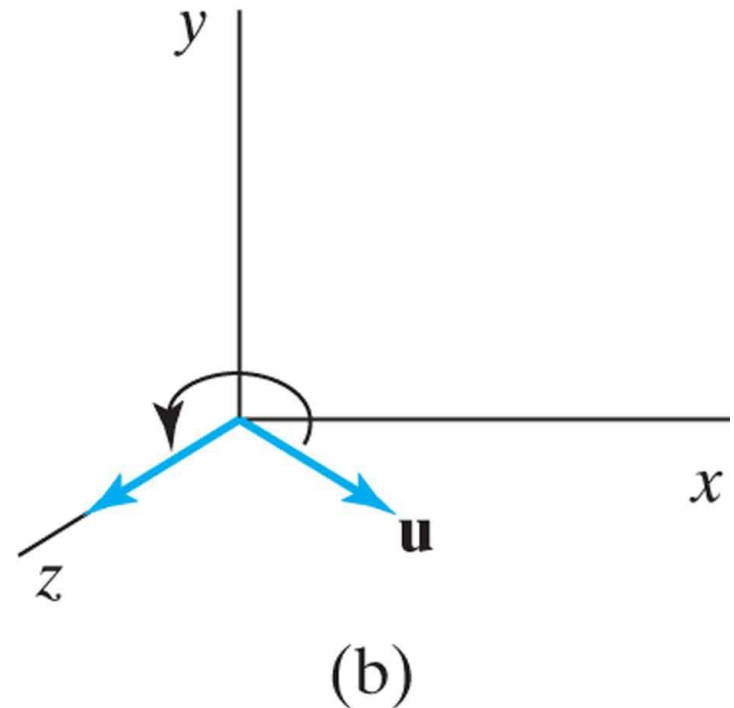
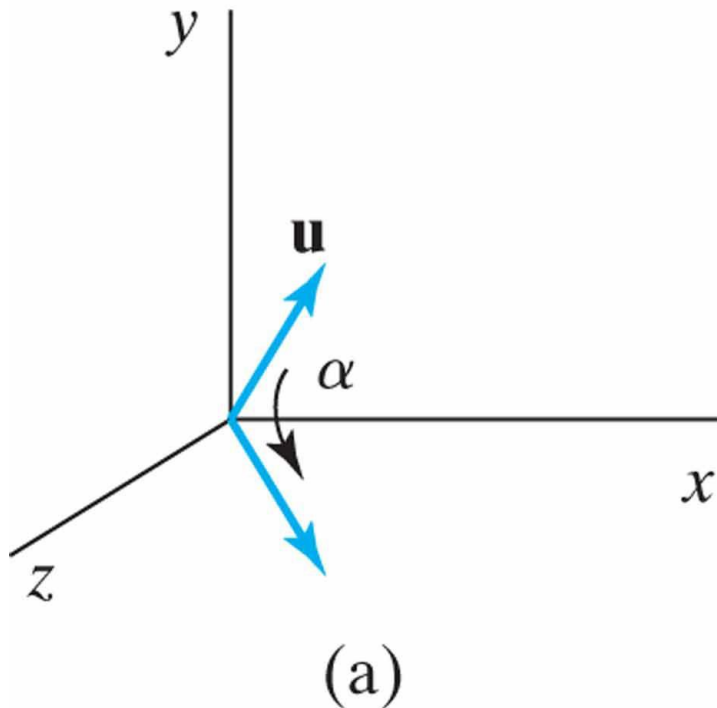
# Rotations

**Figure 9-11** Translation of the rotation axis to the coordinate origin.



# Rotations

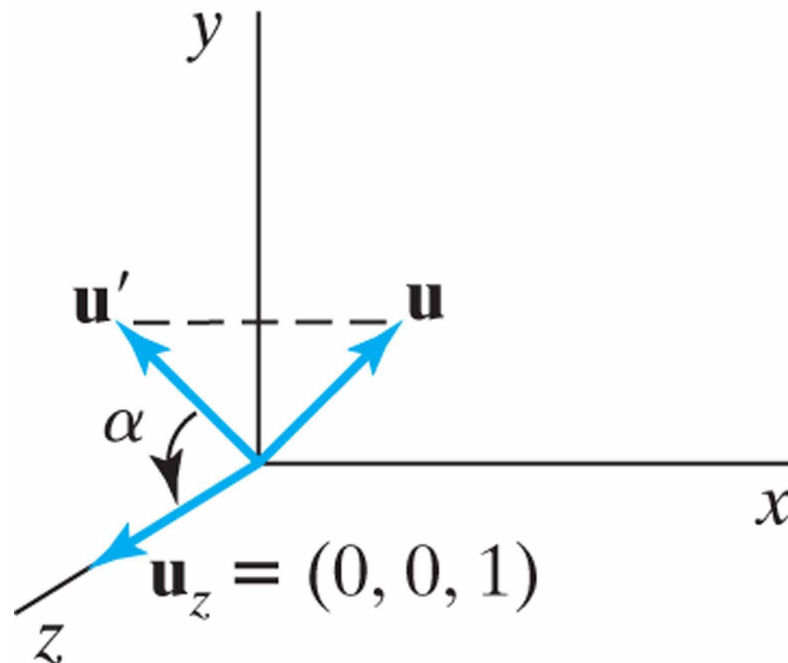
**Figure 9-12** Unit vector  $\mathbf{u}$  is rotated about the  $x$  axis to bring it into the  $xz$  plane (a), then it is rotated around the  $y$  axis to align it with the  $z$  axis (b).



# Rotations

- Two steps for putting the rotation axis onto the z-axis
  - Rotate about the x-axis
  - Rotate about the y-axis

**Figure 9-13** Rotation of  $\mathbf{u}$  around the x axis into the xz plane is accomplished by rotating  $\mathbf{u}'$  (the projection of  $\mathbf{u}$  in the yz plane) through angle  $\alpha$  onto the z axis.



# Rotations

- Projection of  $\mathbf{u}$  in the  $yz$  plane

$$\mathbf{u}' = (0, b, c)$$

- Cosine of the rotation angle

$$\cos \alpha = \frac{\mathbf{u}' \cdot \mathbf{u}_z}{|\mathbf{u}'| |\mathbf{u}_z|} = \frac{c}{d}$$

where  $d = \sqrt{b^2 + c^2}$

- Similarly, sine of rotation angle can be determined from the cross-product

$$\mathbf{u}' \times \mathbf{u}_z = \mathbf{u}_x |\mathbf{u}'| |\mathbf{u}_z| \sin \alpha$$

$$\mathbf{u}' \times \mathbf{u}_z = \mathbf{u}_x \cdot b$$

# Rotations

- Equating the right sides

$$d \sin \alpha = b \qquad \sin \alpha = \frac{b}{d}$$

where  $|u'|=d$

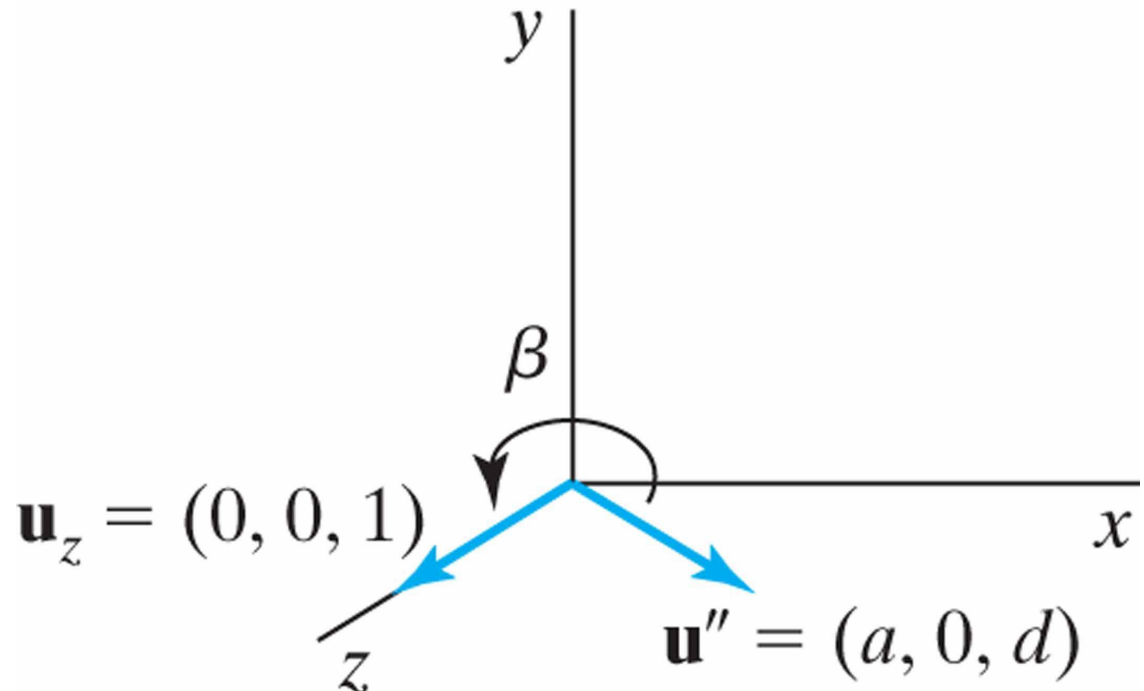
- Then,

$$\mathbf{R}_x(\alpha) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{c}{d} & -\frac{b}{d} & 0 \\ 0 & \frac{b}{d} & \frac{c}{d} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Rotations

- Next, swing the unit vector in the xz plane counter-clockwise around the y-axis onto the positive z-axis

**Figure 9-14**  
Rotation of unit vector  $\mathbf{u}''$  (vector  $\mathbf{u}$  after rotation into the xz plane) about the y axis. Positive rotation angle  $\beta$  aligns  $\mathbf{u}''$  with vector  $\mathbf{u}_z$ .



# Rotations

$$\cos \beta = \frac{\mathbf{u}'' \cdot \mathbf{u}_z}{|\mathbf{u}''| |\mathbf{u}_z|} = d \quad \text{because } |\mathbf{u}_z| = |\mathbf{u}''| = 1$$

$$\mathbf{u}'' \times \mathbf{u}_z = \mathbf{u}_y |\mathbf{u}''| |\mathbf{u}_z| \sin \beta$$

and

$$\mathbf{u}'' \times \mathbf{u}_z = \mathbf{u}_y \cdot (-a)$$

so that  $\sin \beta = -a$

Therefore  $\mathbf{R}_y(\beta) = \begin{bmatrix} d & 0 & -a & 0 \\ 0 & 1 & 0 & 0 \\ a & 0 & d & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$

# Rotations

Together with

$$\mathbf{R}_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$\mathbf{R}(\theta) = \mathbf{T}^{-1} \cdot \mathbf{R}_x^{-1}(\alpha) \cdot \mathbf{R}_y^{-1}(\beta) \cdot \mathbf{R}_z(\theta) \cdot \mathbf{R}_y(\beta) \cdot \mathbf{R}_x(\alpha) \cdot \mathbf{T}$$



# In general

$$\mathbf{u}'_z = \mathbf{u}$$

$$\mathbf{u}'_y = \frac{\mathbf{u} \times \mathbf{u}_x}{|\mathbf{u} \times \mathbf{u}_x|}$$

$$\mathbf{u}'_x = \mathbf{u}'_y \times \mathbf{u}'_z$$

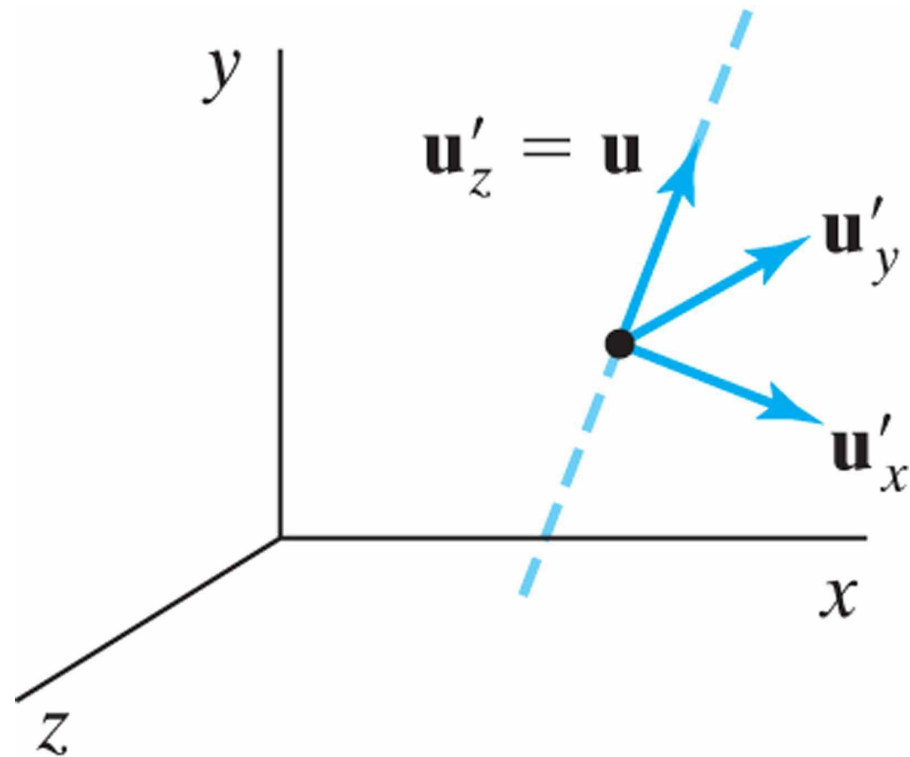
$$\mathbf{u}'_x = (u'_{x1}, u'_{x2}, u'_{x3})$$

$$\mathbf{u}'_y = (u'_{y1}, u'_{y2}, u'_{y3})$$

$$\mathbf{u}'_z = (u'_{z1}, u'_{z2}, u'_{z3})$$

$$\mathbf{R} = \begin{bmatrix} u'_{x1} & u'_{x2} & u'_{x3} & 0 \\ u'_{y1} & u'_{y2} & u'_{y3} & 0 \\ u'_{z1} & u'_{z2} & u'_{z3} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

**Figure 9-15** Local coordinate system for a rotation axis defined by unit vector  $\mathbf{u}$ .



# Quaternions

- Scalar part and vector part  $q = (s, \mathbf{v})$ 
  - Think of it as a higher-order complex number
- Rotation about any axis passing through the coordinate origin is accomplished by first setting up a unit quaternion

$$s = \cos \frac{\theta}{2}, \quad \mathbf{v} = \mathbf{u} \sin \frac{\theta}{2}$$

where  $\mathbf{u}$  is a unit vector along the selected rotation axis and  $\theta$  is the specified rotation angle

- Any point  $P$  in quaternion notation is  $P=(0, \mathbf{p})$  where  $\mathbf{p}=(x, y, z)$

# Quaternions

- The rotation of the point  $\mathbf{P}$  is carried out with quaternion operation  $\mathbf{P}' = q\mathbf{P}q^{-1}$  where  $q^{-1} = (s, -\mathbf{v})$ 
  - This produces  $\mathbf{P}' = (0, \mathbf{p}')$  where

$$\mathbf{p}' = s^2\mathbf{p} + \mathbf{v}(\mathbf{p} \cdot \mathbf{v}) + 2s(\mathbf{v} \times \mathbf{p}) + \mathbf{v} \times (\mathbf{v} \times \mathbf{p})$$

- Many computer graphics systems use efficient hardware implementations of these vector calculations to perform rapid three-dimensional object rotations.
- Noting that  $\mathbf{v} = (a, b, c)$ , we obtain the elements for the composite rotation matrix. We then have

$$\mathbf{M}_R(\theta) = \begin{bmatrix} 1 - 2b^2 - 2c^2 & 2ab - 2sc & 2ac + 2sb \\ 2ab + 2sc & 1 - 2a^2 - 2c^2 & 2bc - 2sa \\ 2ac - 2sb & 2bc + 2sa & 1 - 2a^2 - 2b^2 \end{bmatrix}$$

# Quaternions

- Using  $\cos^2 \frac{\theta}{2} - \sin^2 \frac{\theta}{2} = 1 - 2 \sin^2 \frac{\theta}{2} = \cos \theta$ ,  $2 \cos \frac{\theta}{2} \sin \frac{\theta}{2} = \sin \theta$

$\mathbf{M}_R(\theta) =$

$$\begin{bmatrix} u_x^2(1 - \cos \theta) + \cos \theta & u_x u_y(1 - \cos \theta) - u_z \sin \theta & u_x u_z(1 - \cos \theta) + u_y \sin \theta \\ u_y u_x(1 - \cos \theta) + u_z \sin \theta & u_y^2(1 - \cos \theta) + \cos \theta & u_y u_z(1 - \cos \theta) - u_x \sin \theta \\ u_z u_x(1 - \cos \theta) - u_y \sin \theta & u_z u_y(1 - \cos \theta) + u_x \sin \theta & u_z^2(1 - \cos \theta) + \cos \theta \end{bmatrix}$$

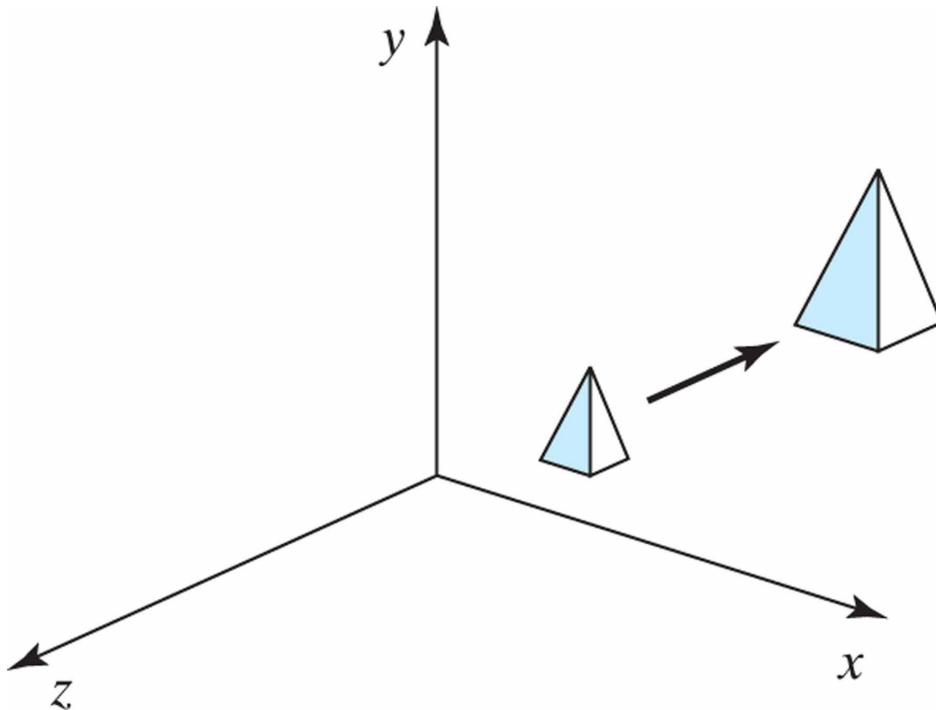
- About an arbitrarily placed rotation axis:  $\mathbf{R}(\theta) = \mathbf{T}^{-1} \cdot \mathbf{M}_R \cdot \mathbf{T}$
- Quaternions require less storage space than  $4 \times 4$  matrices, and it is simpler to write quaternion procedures for transformation sequences.
- This is particularly important in animations, which often require complicated motion sequences and motion interpolations between two given positions of an object.

# 3D scaling

**Figure 9-17** Doubling the size of an object with transformation 9-41 also moves the object farther from the origin.

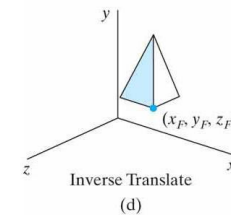
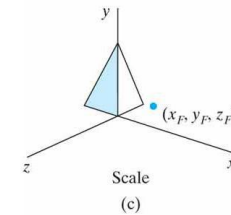
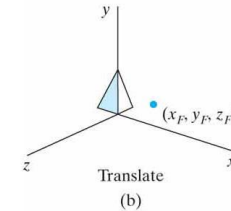
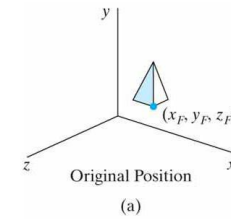
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$\mathbf{P}' = \mathbf{S} \cdot \mathbf{P}$$



# 3D scaling

**Figure 9-18** A sequence of transformations for scaling an object relative to a selected fixed point, using Equation 9-41.



$$\mathbf{T}(x_f, y_f, z_f) \cdot \mathbf{S}(s_x, s_y, s_z) \cdot \mathbf{T}(-x_f, -y_f, -z_f) = \begin{bmatrix} s_x & 0 & 0 & (1 - s_x)x_f \\ 0 & s_y & 0 & (1 - s_y)y_f \\ 0 & 0 & s_z & (1 - s_z)z_f \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Composite 3D transformation example

# Transformations between 3D coordinate systems

**Figure 9-21** An  $x'y'z'$  coordinate system defined within an  $x y z$  system. A scene description is transferred to the new coordinate reference using a transformation sequence that superimposes the  $x'y'z'$  frame on the  $xyz$  axes.

