# CMPE 466 <br> COMPUTER <br> GRAPHICS 

## Chapter 9

## 3D Geometric Transformations

Instructor: D. Arifler

Material based on

- Computer Graphics with OpenGL ${ }^{\circledR}$, Fourth Edition by Donald Hearn, M. Pauline Baker, and Warren R. Carithers
- Fundamentals of Computer Graphics, Third Edition by by Peter Shirley and Steve Marschner
- Computer Graphics by F. S. Hill


## 3D translation

Figure 9-1 Moving a coordinate position with translation vector $\mathrm{T}=$ $\left(t_{x}, t_{y}, t_{z}\right)$.


$$
\begin{gathered}
{\left[\begin{array}{l}
x^{\prime} \\
y^{\prime} \\
z^{\prime} \\
1
\end{array}\right]=\left[\begin{array}{lllc}
1 & 0 & 0 & t_{x} \\
0 & 1 & 0 & t_{y} \\
0 & 0 & 1 & t_{z} \\
0 & 0 & 0 & 1
\end{array}\right] \cdot\left[\begin{array}{l}
x \\
y \\
z \\
1
\end{array}\right]} \\
\mathbf{P}^{\prime}=\mathbf{T} \cdot \mathbf{P}
\end{gathered}
$$

## 3D rotation

Figure 9-3 Positive rotations about a coordinate axis are counterclockwise, when looking along the positive half of the axis toward the origin.

(a)

(c)

## 3D z-axis rotation

Figure 9-4 Rotation of an object about the $z$ axis.


## Rotations

- To obtain rotations about other two axes
- x $\quad y \mathrm{y}$ z x
- E.g. x-axis rotation

$$
\begin{aligned}
& y^{\prime}=y \cos \theta-z \sin \theta \\
& z^{\prime}=y \sin \theta+z \cos \theta \\
& x^{\prime}=x
\end{aligned}
$$

- E.g. y-axis rotation

$$
\begin{aligned}
& z^{\prime}=z \cos \theta-x \sin \theta \\
& x^{\prime}=z \sin \theta+x \cos \theta \\
& y^{\prime}=y
\end{aligned}
$$

## General 3D rotations

Figure 9-8 Sequence of transformations for rotating an object about an axis that is parallel to the $x$ axis.

(b)

Translate Rotation Axis onto $x$ Axis

(c)

Rotate Object Through Angle $\theta$

(d)

Translate Rotation Axis to Original Position

## Arbitrary rotations

Figure 9-9 Five transformation steps for obtaining a composite matrix for rotation about an arbitrary axis, with the rotation axis projected onto the $z$ axis.


## Arbitrary rotations

Figure 9-10 An axis of rotation (dashed line) defined with points $P_{1}$ and $P_{2}$. The direction for the unit axis vector $u$ is determined by the specifíed rotation direction.


## Rotations

Figure 9-11 Translation of the rotation axis to the coordinate origin.


## Rotations

Figure 9-12 Unit vector $u$ is rotated about the $x$ axis to bring it into the $x z$ plane (a), then it is rotated around the $y$ axis to align it with the $z$ axis (b).

(a)

(b)

## Rotations

- Two steps for putting the rotation axis onto the z-axis
- Rotate about the x-axis
- Rotate about the $y$-axis

Figure 9-13 Rotation of $u$ around the $x$ axis into the $x z$ plane is accomplished by rotating $u^{\prime}$ (the projection of $u$ in the $y z$ plane) through angle $\alpha$ onto the $z$ axis.


## Rotations

- Projection of $u$ in the yz plane

$$
\mathbf{u}^{\prime}=(0, b, c)
$$

- Cosine of the rotation angle

$$
\cos \alpha=\frac{\mathbf{u}^{\prime} \cdot \mathbf{u}_{z}}{\left|\mathbf{u}^{\prime}\right|\left|\mathbf{u}_{z}\right|}=\frac{c}{d}
$$

where

$$
d=\sqrt{b^{2}+c^{2}}
$$

- Similarly, sine of rotation angle can be determined from the cross-product

$$
\begin{aligned}
& \mathbf{u}^{\prime} \times \mathbf{u}_{z}=\mathbf{u}_{x}\left|\mathbf{u}^{\prime}\right|\left|\mathbf{u}_{z}\right| \sin \alpha \\
& \mathbf{u}^{\prime} \times \mathbf{u}_{z}=\mathbf{u}_{x} \cdot b
\end{aligned}
$$

## Rotations

- Equating the right sides

$$
d \sin \alpha=b \quad \sin \alpha=\frac{b}{d}
$$

where $\left|u^{\prime}\right|=\mathrm{d}$
-Then,

$$
\mathbf{R}_{x}(\alpha)=\left[\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & \frac{c}{d} & -\frac{b}{d} & 0 \\
0 & \frac{b}{d} & \frac{c}{d} & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
$$

## Rotations

- Next, swing the unit vector in the xz plane counter-clockwise around the $y$-axis onto the positive z-axis

Figure 9-14
Rotation of unit vector u" (vector u after rotation into the $x z$ plane) about the $y$ axis. Positive rotation angle $\beta$ aligns $\mathbf{u}^{\text {" }}$ with vector $\mathbf{u}_{z}$.

$$
\mathbf{u}_{z}=(0,0,1)
$$



## Rotations

$$
\cos \beta=\frac{\mathbf{u}^{\prime \prime} \cdot \mathbf{u}_{z}}{\left|\mathbf{u}^{\prime \prime}\right|\left|\mathbf{u}_{z}\right|}=d \quad \text { because }\left|\mathbf{u}_{z}\right|=\left|\mathbf{u}^{\prime \prime}\right|=1
$$

$\mathbf{u}^{\prime \prime} \times \mathbf{u}_{z}=\mathbf{u}_{y}\left|\mathbf{u}^{\prime \prime}\right|\left|\mathbf{u}_{z}\right| \sin \beta$
and
$\mathbf{u}^{\prime \prime} \times \mathbf{u}_{z}=\mathbf{u}_{y} \cdot(-a)$
so that $\quad \sin \beta=-a$

Therefore

$$
\mathbf{R}_{y}(\beta)=\left[\begin{array}{cccc}
d & 0 & -a & 0 \\
0 & 1 & 0 & 0 \\
a & 0 & d & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
$$

## Rotations

Together with $\quad \mathbf{R}_{z}(\theta)=\left[\begin{array}{cccc}\cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1\end{array}\right]$

$$
\mathbf{R}(\theta)=\mathbf{T}^{-1} \cdot \mathbf{R}_{x}^{-1}(\alpha) \cdot \mathbf{R}_{y}^{-1}(\beta) \cdot \mathbf{R}_{z}(\theta) \cdot \mathbf{R}_{y}(\beta) \cdot \mathbf{R}_{x}(\alpha) \cdot \mathbf{T}
$$

## In general

$$
\begin{gathered}
\mathbf{u}_{z}^{\prime}=\mathbf{u} \\
\mathbf{u}_{y}^{\prime}=\frac{\mathbf{u} \times \mathbf{u}_{x}}{\left|\mathbf{u} \times \mathbf{u}_{x}\right|} \\
\mathbf{u}_{x}^{\prime}=\mathbf{u}_{y}^{\prime} \times \mathbf{u}_{z}^{\prime} \\
\mathbf{u}_{x}^{\prime}=\left(u_{x 1}^{\prime}, u_{x 2}^{\prime}, u_{x 3}^{\prime}\right) \\
\mathbf{u}_{y}^{\prime}=\left(u_{y 1}^{\prime}, u_{y 2}^{\prime}, u_{y 3}^{\prime}\right) \\
\mathbf{u}_{z}^{\prime}=\left(u_{z 1}^{\prime}, u_{z 2}^{\prime}, u_{z 3}^{\prime}\right) \\
\mathbf{R}=\left[\begin{array}{cccc}
u_{x 1}^{\prime} & u_{x 2}^{\prime} & u_{x 3}^{\prime} & 0 \\
u_{y 1}^{\prime} & u_{y 2}^{\prime} & u_{y 3}^{\prime} & 0 \\
u_{z 1}^{\prime} & u_{z 2}^{\prime} & u_{z 3}^{\prime} & 0 \\
0 & 0 & 0 & 1
\end{array}\right]
\end{gathered}
$$

Figure 9-15 Local coordinate system for a rotation axis defined by unit vector $u$.


## Quaternions

- Scalar part and vector part $q=(s, \mathbf{v})$
- Think of it as a higher-order complex number
- Rotation about any axis passing through the coordinate origin is accomplished by first setting up a unit quaternion

$$
s=\cos \frac{\theta}{2}, \quad \mathbf{v}=\mathbf{u} \sin \frac{\theta}{2}
$$

where $\mathbf{u}$ is a unit vector along the selected rotation axis and $\theta$ is the specified rotation angle

- Any point $P$ in quaternion notation is $P=(0, p)$ where $p=(x$, $y, z)$


## Quaternions

- The rotation of the point $P$ is carried out with quaternion operation $\quad \mathbf{P}^{\prime}=q \mathbf{P} q^{-1} \quad$ 子re $\quad q^{-1}=(s,-\mathbf{v})$
- This produces $\mathrm{P}^{\prime}=\left(0, \mathbf{p}^{\prime}\right)$ where

$$
\mathbf{p}^{\prime}=s^{2} \mathbf{p}+\mathbf{v}(\mathbf{p} \cdot \mathbf{v})+2 s(\mathbf{v} \times \mathbf{p})+\mathbf{v} \times(\mathbf{v} \times \mathbf{p})
$$

- Many computer graphics systems use efficient hardware implementations of these vector calculations to perform rapid three-dimensional object rotations.
- Noting that $v=(a, b, c)$, we obtain the elements for the composite rotation matrix. We then have

$$
\mathbf{M}_{R}(\theta)=\left[\begin{array}{ccc}
1-2 b^{2}-2 c^{2} & 2 a b-2 s c & 2 a c+2 s b \\
2 a b+2 s c & 1-2 a^{2}-2 c^{2} & 2 b c-2 s a \\
2 a c-2 s b & 2 b c+2 s a & 1-2 a^{2}-2 b^{2}
\end{array}\right]
$$

## Quaternions

- Using $\cos ^{2} \frac{\theta}{2}-\sin ^{2} \frac{\theta}{2}=1-2 \sin ^{2} \frac{\theta}{2}=\cos \theta, \quad 2 \cos \frac{\theta}{2} \sin \frac{\theta}{2}=\sin \theta$
$\mathbf{M}_{R}(\theta)=$

$$
\left[\begin{array}{ccc}
u_{x}^{2}(1-\cos \theta)+\cos \theta & u_{x} u_{y}(1-\cos \theta)-u_{z} \sin \theta & u_{x} u_{z}(1-\cos \theta)+u_{y} \sin \theta \\
u_{y} u_{x}(1-\cos \theta)+u_{z} \sin \theta & u_{y}^{2}(1-\cos \theta)+\cos \theta & u_{y} u_{z}(1-\cos \theta)-u_{x} \sin \theta \\
u_{z} u_{x}(1-\cos \theta)-u_{y} \sin \theta & u_{z} u_{y}(1-\cos \theta)+u_{x} \sin \theta & u_{z}^{2}(1-\cos \theta)+\cos \theta
\end{array}\right]
$$

-About an arbitrarily placed rotation axis: $\mathbf{R}(\theta)=\mathbf{T}^{-1} \cdot \mathbf{M}_{R} \cdot \mathbf{T}$

- Quaternions require less storage space tnan $4 \times 4$ matrices, and it is simpler to write quaternion procedures for transformation sequences.
- This is particularly important in animations, which often require complicated motion sequences and motion interpolations between two given positions of an object.


## 3D scaling

Figure 9-17 Doubling the size of an object with transformation 9-41 also moves the object farther from the origin.


## 3D scaling

Figure 9-18 A sequence of transformations for scaling an object relative to a selected fixed point, using Equation 9-41.

(a)

(c)

(d)
$\mathbf{T}\left(x_{f}, y_{f}, z_{f}\right) \cdot \mathbf{S}\left(s_{x}, s_{y}, s_{z}\right) \cdot \mathbf{T}\left(-x_{f},-y_{f},-z_{f}\right)=\left[\begin{array}{cccc}s_{x} & 0 & 0 & \left(1-s_{x}\right) x_{f} \\ 0 & s_{y} & 0 & \left(1-s_{y}\right) y_{f} \\ 0 & 0 & s_{z} & \left(1-s_{z}\right) z_{f} \\ 0 & 0 & 0 & 1\end{array}\right]$

## Composite 3D transformation example

## Transformations between 3D coordinate systems

Figure 9-21 An $x^{\prime} y^{\prime} z^{\prime}$ coordinate system defined within an $x y$ z system. A scene description is transferred to the new coordinate reference using a transformation sequence that superimposes the $x^{\prime} y^{\prime} z^{\prime}$ frame on the $x y z$ axes.


